

FINANCIAL DISCLOSURE FORM



FORM REQUIRED: Used to document the source of all money invested or spent to fund a new establishment, expand an existing establishment, or buy an existing business, when the business holds one of the following licenses: Liquor (1470, 1473, 1474, 1475, 1478, 1479, 1480, 1481), Amusement (1046, 1050, 1056), Hotel (1370), Massage Establishment (1524), or Day Care (1584, 1585, 1586, 1587)

INSTRUCTIONS: Complete the 4 parts below, being sure to follow all printed instructions carefully. If a section does not apply, <u>mark it</u> <u>"N/A"</u>. If more room is needed to complete any of the following sections, <u>include an attachment</u>. This form must be signed and notarized in Part 4 by an owner or officer <u>listed with the Department of Business Affairs & Consumer Protection</u>.

| PART 1 INFORMATIO | N | ▶ PROVIDE THE | FOLLOWING | INFOR | MATION ABOUT | THE LEGA | L ENTITY APPLYING | G FOR TH | E LICENSE(S) | | | | |
|--|------------|-----------------------|----------------|--------------|---|----------------|-------------------|-------------------|---------------|-------|--|--|--|
| Account # (Business Affairs) | (IRS) | IBT # (IL Dept. Rev.) | | | IL State File # (IL Sec. State) | | | | | | | | |
| Legal Name of Applicant Entity | | | | | - " "Doing Business as Name " of establishment | | | | | | | | |
| | | | | | | | | | | | | | |
| First Name of Primary Business Contact Middle Name | | | | | | Last Na | me | | | | | | |
| Home Street Address of Primary Business Contact | | | | | Suite/Apt. | City | | State Zip code | | | | | |
| Home Phone | Work Phone | | Cellular P | hone | | Email Address | | | | | | | |
| () | () | | () | | | | | | | | | | |
| PART 2 EXPENSES | | ► ITEMIZE ALL E | XPENSES F | OR THE | FUNDING OF T | HE BUSINE | SS OR OWNERSHI | P CHANGI | E AT THIS LOC | ATION | | | |
| Description of Expenses (start-up, expansion, and/or business purchase costs only: construction, renovation, stock purchase, inventory, etc. | | | | | | | | Amount of Expense | | | | | |
| | | | | | | | | ▶\$ | | | | | |
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| | Тс | otal Expenses: (a | Should be equa | l to or less | s than Total Busines | ss Financing a | mount on page 3) | ▶\$ | | | | | |

| | NCING SAVINGS & CHECKING | IDENTIFY THE SOURCE(S) OF THE FUNDS USED TO PAY FOR THE EXPENSES LISTED IN PART 2 Identify any funds from business accounts used to fund Expenses, Part 2 | | | | | | | |
|--|---------------------------------|---|------------------------|-----------------------|--|-----------|--------------------------|---|--|
| a BUSINESS SAVINGS & CHECKING Account Number Financial Institution | | ► Identify any funds from business ad Date Opened Signatories on Account | | | Current Balance | Jenses, r | \$ Drawn for Business | ; | |
| | | | | | ▶\$ | | ▶\$ | | |
| | | | | | ▶\$ | | ▶\$ | | |
| | | | | | ▶\$ | | ▶\$ | | |
| | | | | | ▶\$ | | ►\$ | | |
| | | | | | ▶\$ | | ►\$ | | |
| | | | Total dollar amou | | · | s: a | ►\$ | | |
| Description of Source | e (identify the sources) of the | e monev in the a | | | Contribution Frequ | | Ψ Contribution Amount | | |
| P | | · · · · · · · · · · · · · · · · · · · | | | | , | ▶\$ | | |
| | | | | | | | ▶\$ | | |
| | | | | | | | ▶\$ | | |
| | | | | | | | ►\$ | | |
| PERSONAL | SAVINGS & CHECKING | G | Identify any funds fro | m personal accoun | its used to fund Exp | enses, Pa | | | |
| Account Number | Financial Institution | Date Opened | Signatories on A | | Current Balance | | \$ Drawn for Business | i | |
| | | | | | ▶\$ | | ▶\$ | | |
| | | | | 1 | ▶\$ | | ▶\$ | | |
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| | | | | 1 | ▶\$ | | ▶\$ | | |
| | | | Total dollar amo | unt drawn from p | personal accounts | s: b | \$ | | |
| Description of Sourc | e (identify the sources) of the | e money in the a | accounts listed above | | Contribution Frequ | ency | Contribution Amount | : | |
| | | | | | | | ▶\$ | | |
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| LOANS FRO | M FINANCIAL INSTITU | TIONS | Identify any loans fro | m financial instituti | ions used to fund Ex | kpenses, | Part 2 | | |
| Account Number | Financial Institution | Loan Date | Loan Term | Cosigners of Loa | an | | Loan Amount | | |
| | | | | | | | ▶\$ | | |
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| | | | | | | | ▶\$ | | |
| | | | Total dollar amo | unt loaned by fin | ancial institution | s: C | \$ | | |
| LOANS FROM INDIVIDUALS | | Identify any loans from individuals u Loan Date Source of Funds for Loan | | | sed to fund Expenses, Part 2 % Investment | | | | |
| lame of Individual | | Loan Date | Source of Funds | tor Loan | % Inve | estment % | Loan Amount | | |
| | | | | | | | | _ | |
| | | | | | | % | ►\$ | _ | |
| | | | | | | % | ▶\$ | _ | |
| | | | | | | % | ▶\$ | _ | |
| | | | | | | % | ▶\$ | | |
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| e SECURITIES | | | | | Identify any securities (stocks, bonds, CODs, etc.) sold to fund Expenses, Part 2 | | | | | | | |
|---|-------------------|----------------------|------------|-----------|---|------------------------------|-----------------|-------------------|---------------|------------------------|--|--|
| Name of Security Buy Date | | | | Sell Date | # of shares | Price | Ticker | Amount Invested | | | | |
| | | | | | | | | | | ▶\$ | | |
| | | | | | | | | | ▶\$ | | | |
| | | | | | | | | | | ▶\$ | | |
| | | | | | | | | | | ▶\$ | | |
| | | | | Тс | otal dollar amoun | nt drawn from | the sale of s | ecurities: | е | \$ | | |
| f | GIFTS FROM | INDIVIDUALS | | ► Ide | entify any gifts fron | n individuals use | ed to fund Exp | enses, Part | 2 | | | |
| Nam | ne of Giver | | Date of Gi | ft | Source of Funds for Gift % Investmen | | | | | Amount | | |
| | | | | | | | | | % ►\$ | | | |
| | | | | | | | | | % | % ▶\$ | | |
| | | | | | | | | | % ▶ \$ | | | |
| | | | | | | | | | | ▶\$ | | |
| | | | | - | | Tota | l financing f | rom gifts: | f | \$ | | |
| g | GIFTS/GRAN | TS FROM INSTIT | UTIONS | ► Ide | entify any gifts and | or grants from i | nstitutions us | ed to fund E | Expens | nses, Part 2 | | |
| Insti | itution | Address (Street, Cit | y, State) | | Contact Name & | tact Name & Phone Grant Date | | | | Amount Gifted | | |
| | | | | | | | | | | ▶\$ | | |
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| | | | | | | | | | | ▶\$ | | |
| | | | т | otal m | oney received fro | om institutiona | al gifts and/o | or grants: | g | \$ | | |
| h | OTHER FINAL | NCING | | ► Ide | entify any other fina | ancing (credit ca | ards, etc.) use | d to fund Ex | penses | Î. | | |
| Description of Financing | | | | | | | | | | Amount Financed | | |
| | | | | | | | | | | ►\$ | | |
| | | | | | | | | | | ►\$ | | |
| | | | | | | | | | | ▶\$ | | |
| | | | | | | money drawn | | | h | \$ | | |
| = FINANCING TOTALS ► Sub-total all funds (sections a-h) used to fund Parts 2 | | | | | | | | | | | | |
| Business Accounts A S | | | | | | | Individuals | | ► \$ | | | |
| Personal Accounts b \$ | | | | | Gifts | Grants from | | g | ► \$ | | | |
| Loans from Financial Institutions | | | | | | | r Financing | h | ► \$ | | | |
| Loans from Individuals | | | | | TOTAL BUSINESS FINANCING (a-h)* = \$ | | | | | | | |
| Securities e \$ | | | | | | • | 0 | | | enses listed in part 2 | | |
| PART 4 ACKNOWLEDGEMENT ► REVIEW THE FOLLOWING STATEMENT AND SIGN YOUR ACKNOWLEDGEMENT BELOW | | | | | | | | | | | | |
| I hereby certify, under penalty of perjury, that I am authorized to execute this form and that all information I have provided on this form is complete, true, and, correct. I certify that I understand that all information provided on this Financial Disclosure Form will be corroborated. The City of Chicago reserves the right to request any and all documentation it determines necessary to perform this verification. I and/or my Applicant will have three business days to meet such requests, and failure to do so may result in a disapproved or suspended license application. I understand and accept that any falsification or purposely holding back of this information is grounds for recalling the license(s) issued. | | | | | | | | | | | | |
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| Sia | nature of Applic | ant | | | Da | ate | _ | | | | | |
| Subscribed and sworn to before me this Day of20 | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| Notary Public in and for said County and State | | | | | | | | (PLACE SEAL HERE) | | | | |
| CITY OF CHICAGO • Department of Business Affairs and Consumer Protection: Business Assistance Center City Hall, Room 800 • 121 N. La Salle Street, Chicago, IL 60602 | | | | | | | | | | | | |