#### CITY OF CHICAGO

#22414 INTRODATE APRIL 17, 2024

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

| 1. | ADDRESS of the property Applicant is seeking to rezone:   |  |  |
|----|---|--|--|
|    | 2328 South Michigan Avenue  |  |  |
| 2. | Ward Number that property is located in: 3rd  |  |  |
| 3. | APPLICANT 2328 S Michigan Owner LLC   |  |  |
|    | ADDRESS 360 North State Street CITY Chicago   |  |  |
|    | STATEILZIP CODE60654PHONE312-550-9388   |  |  |
|    | EMAIL nickanderson@fernhillcompany.com CONTACT PERSON Nick Anderson   |  |  |
| 4. | Is the applicant the owner of the property? YES 🗵 NO  If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed |  |  |
|    | OWNER Same as above   |  |  |
|    | ADDRESSCITY   |  |  |
|    | STATE ZIP CODE PHONE  |  |  |
|    | EMAILCONTACT PERSON   |  |  |
| 5. | 5. If the Applicant/Owner of the property has obtained a lawyer as their representative for rezoning, please provide the following information:   |  |  |
|    | ATTORNEY DLA Piper LLP (US) - Katie Jahnke Dale & Rich Klawiter   |  |  |
|    | ADDRESS 444 W Lake, Suite 900   |  |  |
|    |   |  |  |
|    | CITY Chicago STATE IL ZIP CODE 60606  |  |  |
|    | CITYChicago STATE IL ZIP CODE60606<br>PHONE 312-368-2153 FAX 312-251-2856   |  |  |

| 6.      | If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed in the Economic Disclosure statements: See enclosed EDS forms  |
|---------|---|
| 7.      | On what date did the owner acquire legal title to the subject property? <u>January 23, 2020</u>   |
| 8.      | Has the present owner previously rezoned this property? If yes, when? No  |
| 9.      | Present Zoning District: <u>DS-5</u> Proposed Zoning District: <u>DX-5</u> then Residential-Business <u>Planned Development</u>   |
| 10      | Lot size in square feet (or dimensions): 30,450 sf  |
| 11.     | Current Use of the Property: Vacant drive-through   |
| 12.     | Reason for rezoning the property: Mandatory Planned Development per Sections 17-8-0512-B (Tall Buildings), 17-8-0513 (Large Residential Developments) and 17-8-0514 (Bonus Floor Area)  |
| 13.     | Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces, approximate square footage of any commercial space; and height of proposed building. (BE SPECIFIC): The Applicant requests to allow the construction of an 18-story, 170 foot tall building containing 256 dwelling units, 10 parking spaces and 3,000 square feet of ground floor commercial space. The overall Floor Area Ratio (FAR) would be 8.41.                                   |
| 14.     | If filing a required or an elective Type 1 map amendment pursuant to Section 17-13-0300, applications may include relief available pursuant to Section 17-13-1000 or 17-13-1100; in such instances, City Council approval of a Type-1 application containing said elements shall preclude subsequent review otherwise required pursuant to Sections 17-13-1000 or 17-13-1100, provided that no Type 1 application permits issued may be in violation of Section 17-13-0310.   |
| Adjusti | apply the specific code sections the applicant is seeking relief for (BE SPECIFIC) Administrative ment Section(s) 17-13-1000 or Variation Section(s) 17-13-1100. (Note: more detail noted within the narrative)   |
|         | Administrative Adjustment 17-13-1003:   |
|         | ☐ Variation 17-13-1101: When seeking a use involving a Public Place of Amusement (PPA) & Liquor License please provide an acknowledgement document from The Department of Business Affairs and Consumer Protection Office (BACP) indicating the city acknowledges your business license request   |
| 15.     | The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit <a href="https://www.cityofchicago.org/ARO">www.cityofchicago.org/ARO</a> for more information). Is this project subject to the ARO? |
| 16.     | YES⊠ NO□  |

# COUNTY OF COOK STATE OF ILLINOIS

Nick Anderson, of 2328 S MICHIGAN OWNER LLC, being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct. Signature of Applicant Subscribed and Sworn to before me this day of \_\_\_\_\_\_\_ R LAURIE Official Seal Notary Public - State of Illinois My Commission Expires Sep 22, 2026 For Office Use Only Date of Introduction: File Number:

Ward:

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1.** That the Chicago Zoning Ordinance be amended by changing all of the DS-5 Downtown Service District symbols and indications as shown on Map 6-E in the area bounded by:

South Michigan Avenue; a line 150 feet south of and parallel to East  $23^{\rm rd}$  Street; the public alley west of and parallel to South Michigan Avenue; and a line 319.82 feet south of and parallel to East  $23^{\rm rd}$  Street

to those of the DX-5 Downtown Mixed-Use District.

**SECTION 2.** That the Chicago Zoning Ordinance be amended by changing all of the DS-5 Downtown Service District symbols and indications as shown on Map 6-E in the area bounded by:

South Michigan Avenue; a line 150 feet south of and parallel to East 23<sup>rd</sup> Street; the public alley west of and parallel to South Michigan Avenue; and a line 319.82 feet south of and parallel to East 23<sup>rd</sup> Street

a residential-business planned development.

**SECTION 3**. This Ordinance shall be in force and effect from and after its passage and publication.

Address:

2328 South Michigan

#### **CERTIFIED SURVEY**

16144 S Bell Road, Homer Glen, Illinois 60491 Phone 847-296-6900 Fax 847-296-6906

Email: surveys@certifiedsurvey.com

#### PLAT OF SURVEY

OF

PARCEL 1: THAT PART OF THE EAST 1/2 OF OUTLOT OR BLOCK 22 IN THE CANAL
TRUSTEES' SUBDIVISION OF THE WEST 1/2 OF SECTION 27, TOWNSHIP 39 NORTH, RANGE
14 EAST OF THE THIRD PRINCIPAL MERIDIAN, LYNIG SOUTH OF THE NORTH 100.00 FEET
THEREOF (EXCEPT THOSE PORTIONS THEREOF TAKEN FOR ALLEY AND FOR THE WIDENING
OF MICHIGAN AVENUE AND EXCEPT THAT PART CONYSTED TO ELLA FRANCES DURAND
KENNETT BY DEED FROM IMMANUEL BAPTIST CHURCH DATED OCTOBER 10, 1895 AND
RECORDED OCTOBER 10, 1895 AS DOCUMENT 2290277 IN BOOK 5555 PAGE 154), IN
COOK COUNTY, ILLINOIS.

PARCEL 2: LOT 1 AND THE NORTH 1/2 OF LOT 2 (EXCEPT THAT PART OF SAID LOTS TAKEN FOR WIDENING OF MICHIGAN AVENUE). IN CLEAVER'S SUBDIVISION OF BLOCK 31 IN CLAVAL TRUSTEES' SUBDIVISION OF THE WEST 1/2 OF SECTION 27, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

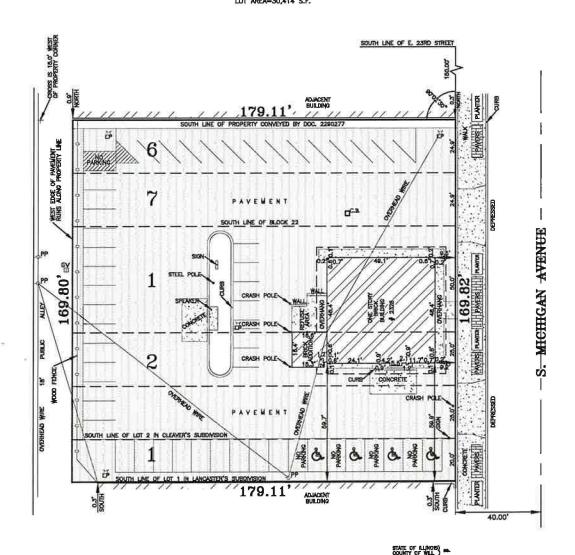
PARCEL 3; THE SOUTH 1/2 OF LOT 2 IN SUBDIVISION OF BLOCK 31 IN CANAL TRUSTEES' SUBDIVISION OF THE WEST 1/2 OF SECTION 27, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN

PARCEL 4; SUB LOT 1 IN LANCASTER'S SUBDIVISION OF LOTS 3 AND 4 IN THE SUBDIVISION OF BLOCK 31 IN CANAL TRUSTEES' SUBDIVISION OF THE WEST 1/2 OF SECTION 27, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDAN, IN COOK COUNTY, ILLINOIS.



PIN: 17-27-109-008/009/010/011/012/013 LOT AREA-30,414 S.F.





ORDER No. 24-02-126 ORDERED BY: FERN HILL COMPANY WHE SURFERED THE PROPERTY DESCRIPED IN THE CAPTION TO THE PLAY PERSON DIMEN AND THAT THIS PROPERSONAL SERVICE CONTROLS TO THE CURRENT LUNGS WHIGHAIN AND THAT THE PROPERSONAL SERVICE CONTROLS TO THE CURRENT LUNGS WHIGHAIN ALL DIALNESSES ARE IN FEST AND DESCRIPE. DIFFERENCE OF AS DECREES AND THE PROPERTY DIMENSIONS SENIOR OF BUILDINGS ARE TO THE CURRENT DIMENSIONS SENIOR OF BUILDINGS ARE TO THE DIMENSIONS AND THE TOTAL THE PROPERTY OF THE PROPE

SURVEYED 11TH DAY OF MARCH 2004.
SERVED THES 13TH DAY OF MARCH 2024.

m Kwin Challin





DLA Piper LLP (US) 444 West Lake Street, Suite 900 Chicago, Illinois 60606 www.dlapiper.com

Katie Jahnke Dale Katie.dale@us.dlapiper.com T 312.368.2153

April 3, 2024

The Honorable Bennett Lawson, Acting Vice-Chairman City of Chicago Committee on Zoning Room 304, City Hall 121 North LaSalle Street Chicago, Illinois 60602

Laura Flores, Chairwoman Chicago Plan Commission Room 1000, City Hall 121 North LaSalle Street Chicago, Illinois 60602

Application for Planned Development / Affidavit of Notice of Filing Re: 2328 South Michigan Avenue, Chicago, IL

Dear Chairman Lawson and Chairwoman Flores:

The undersigned, Katie Jahnke Dale, an attorney with the law firm of DLA Piper LLP (US), which firm represents 2328 S Michigan Owner LLC, the applicant for a proposal to rezone the subject property from the DS-5 Downtown Service District to DX-5 Downtown Mixed-use District, states that they intend to comply with the requirements for Section 17-13-0107 of the Chicago Zoning Ordinance by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways. Said written notice will be sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contains the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; a statement that the applicant intends to file the application for change in zoning on approximately April 17, 2024; and a source for additional information on the application.

The undersigned certifies that they have made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Very truly yours,

DLA Piper LLP (US)

Subscribed and sworn to before me

Official Seal ILLIANA SILVA Notary Public, State of Illinois Commission No. 824654

My Commission Expires August 12, 2027



DLA Piper LLP (US) 444 W. Lake Street Suite 900 Chicago, Illinois 60606 www.dlapiper.com

Katie Jahnke Dale Katie.dale@us.dlapiper.com T 312.368.2153

April 17, 2024

#### FIRST CLASS MAIL

Dear Sir or Madam:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107 of the Municipal Code of the City of Chicago, please be informed that on or about April 17, 2024 the undersigned, on behalf of 2328 S Michigan Owner LLC (the "Applicant"), intends to file an application to rezone the property generally located at 2328 South Michigan Avenue, Chicago, Illinois (the "Property") from DS-5 Downtown Service District to a DX-5 Downtown Mixed-use District then to a Residential-Business Planned Development. A map of the Property is printed on the reverse side of this letter.

The Property is currently a vacant drive-through. The Applicant requests a re-zoning from the DS-5 Downtown Service District to the DX-5 Downtown Mixed-use District then to a Residential-Business Planned Development to allow the construction of an 18-story, 170-foot-tall building containing 256 dwelling units, 10 parking spaces and 3,000 square feet of ground floor commercial space. The overall Floor Area Ratio (FAR) would be 8.41.

Please note that the Applicant is not seeking to rezone or purchase your property. You are receiving this notice as required by the Chicago Municipal Code because the assessor's tax records indicate that you own property within 250 feet of the Property.

I am an authorized representative of the Applicant, and my address is 444 W. Lake Street, Suite 900, Chicago, IL 60606. The Applicant and Owner is 2328 S Michigan Owner LLC, and its address is 360 North State Street, Chicago, IL 60654.

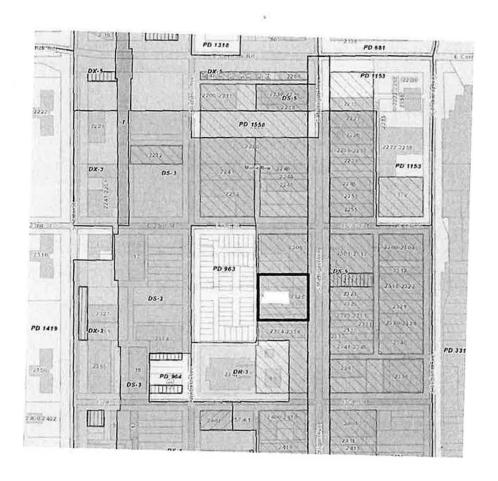
Please contact me at 312-368-2153 with questions or to obtain additional information.

Very truly yours,

DLA Piper LLP (US)

Katie Jahnke Dale

#### MAP:



# PINs:

17-27-109-008-0000; 17-27-109-009-0000; 17-27-109-010-0000; 17-27-109-011-0000; 17-27-109-012-0000; 17-27-109-013-0000.

17-27-100-012-0000 17-27-100-013-0000 17-27-101-022-0000 WALSH CONSTRUCTION CO COLLEEN BECKER 2255 S WABASH LLC 207 S MAPLE STREET 929 W ADAMS ST 2255 S WABASH AVE ITASCA, IL 60143 CHICAGO, IL 60607 CHICAGO, IL 60616 17-27-101-025-0000 17-27-101-026-0000 17-27-101-027-0000 K & N VENTURE LLC JAS MCHUGH CONST CO JAMES MCHUGH CONST CO 9825 S AVERS AVE 1737 S MICHIGAN AVE 1737 S MICHIGAN AVE EVERGREEN PK, IL 60805 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-102-010-0000 17-27-102-011-0000 17-27-102-012-0000 MOTOR ROW LLC COLEGROVE LLC GRACELAND DEV LTD 105 W MADISON ST 1401 4246 KALAMAZOO AVE SE 613 W 16TH ST CHICAGO, IL 60602 GRAND RAPIDS, MI 49508 CHICAGO, IL 60616 17-27-102-023-0000 17-27-108-006-0000 17-27-108-007-0000 MCCORMICK HOSPITALITY COLLEN BECKER COLLEEN BECKER 8700 NORTHCOTE AVE. 207 S MAPLE ST 207 S MAPLE STREET MUNSTER, IN 46321 ITASCA, IL 60143 ITASCA, IL 60143 17-27-108-008-0000 17-27-108-009-0000 17-27-108-010-0000 COLLEN BECKER COLLEN BECKER COLLEN BECKER 207 S MAPLE ST 207 S MAPLE ST 207 S MAPLE ST ITASCA, IL 60143 ITASCA, IL 60143 ITASCA, IL 60143 17-27-108-011-0000 17-27-108-012-0000 17-27-108-017-0000 COLLEEN BECKER COLLEEN BECKER **COLLEEN BECKER** 207 S MAPLE STREET 207 S MAPLE STREET 207 S MAPLE STREET ITASCA, IL 60143 ITASCA, IL 60143 ITASCA, IL 60143 17-27-108-018-0000 17-27-108-027-0000 17-27-108-028-0000 SUNRISE REALESTATE LLC SUNRISE REALESTATE LLC **OUINN CHAPEL AME CHURC** 2900 OAK BROOK HLLS RD 2900 OAK BROOK HLLS RD 2401 S WABASH AVE OAK BROOK, IL 60523 OAK BROOK, IL 60523 CHICAGO, IL 60616 17-27-108-029-0000 17-27-108-030-0000 17-27-108-031-0000 QUINN CHAPEL AME CHURC QUINN CHAPEL AME CHURC QUINN CHAPEL AME CHURC 2401 S WABASH AVE 2401 S WABASH AVE 2401 S WABASH AVE CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-108-032-0000 17-27-109-006-0000 17-27-109-007-0000 TWLS MANAGEMENT INC **QUINN CHAPEL AME CHURC** TWLS MANAGEMENT INC 2401 S WABASH AVE 900 W JACKSON BLVD FL8 900 W JACKSON BLVD FL8

CHICAGO, IL 60607

CHICAGO, IL 60607

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CHICAGO, IL 60605

CHICAGO, IL 60615

17-27-109-028-1020 17-27-109-028-1022 17-27-109-028-1021 **BRITTANY A CANTY** AIDAN SALVI KERI T MORRIS 2332 S MICHIGAN AVE407 2334 S MICHIGAN AVE408 2332 S MICHIGAN AVE409 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-109-028-1023 17-27-109-028-1024 17-27-109-028-1025 BRITTANY A CANTY STANDARD BANK & TRUST JAMYLE MICHAEL 2332 S MICHIGAN AVE407 PO BOX 891146 2332 S MICHIGAN AVENUE CHICAGO, IL 60616 CHICAGO, IL 60608 CHICAGO, IL 60616 17-27-109-028-1026 17-27-109-028-1027 17-27-109-028-1028 CAITLIN SULLIVAN FRANT DEMARIO TRENT ANDRE G PINEDA 2332 S MICHIGAN#302 2332 S MICHIGAN AVE202 230 PRINCESS ANNE CHICAGO, IL 60616 CHICAGO, IL 60616 FREDRCKSBURG, VA 22401 17-27-109-028-1029 17-27-109-028-1030 17-27-109-028-1031 ANDY LIPSCOMB PETER & TERR MIKUZIS MOYA BAILEY 2332 S MICHIGAN AV 206 2332 S MICHIGAN AVE303 2125 VALLEY LO LANE CHICAGO, IL 60616 CHICAGO, IL 60616 GLENVIEW, IL 60025 17-27-109-028-1032 17-27-109-028-1033 17-27-109-028-1034 AIDAN SALVI JAMES T DWYER JEAN MARC MOORGHEN 2334 S MICHIGAN AVE408 2332 S MICHIGAN AVE205 2332 S MICHIGAN AVE404 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-109-028-1035 17-27-109-028-1036 17-27-109-028-1037 ALEXA STRITECKY NICHOLAS MITIDIERO GRACELAND DEVE, LTD 2332 S MICHIGAN 304 2332 S MICHIGAN AVE306 613 WEST 16TH ST. CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-109-028-1038 17-27-109-028-1039 17-27-109-028-1040 **AVIS SAMPSON HOES** WAEL MANASRA **SUNG YOO** 2332 S MICHIGAN AVE402 2332 S MICHIGAN AVE401 5337 S DREXEL AVE CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60615 17-27-109-028-1041 17-27-109-028-1042 17-27-109-028-1043 ANA E LAVALLEY TAXPAYER OF ROCIO PALOMA 1000 S CLARK ST#605 2332 S MICHIGAN AVE305 2334 S MICHIGAN AVE CHICAGO, IL 60605 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-109-028-1044 17-27-109-029-0000 17-27-109-030-0000 KERI T MORRIS LILLIAN BUI & ALEXANDE WENTAO LIN & WEI TANG

2321 S WABASH AVE#13

CHICAGO, IL 60616

2321 S WABASH 14

CHICAGO, IL 60616

2332 S MICHIGAN AVE409

17-27-109-031-0000 17-27-109-032-0000 17-27-109-033-0000 JOSEPH G. LIM & DONNA ANEDRA KERR TRUST AMANDA CHAVEZ 2321 # 15 SOUTH WABASH 2321 S WABASH AVE#16 2321 # 17 SOUTH WABASH CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-109-034-0000 17-27-109-035-0000 17-27-109-036-0000 AMANDA CHAVEZ VINCENT DOMINGO MARIETTA M. LUZYON 2321 # 17 SOUTH WABASH 2323 # 18 SOUTH WABASH 6615 W. CORNELIA CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60634 17-27-109-039-0000 17-27-109-037-0000 17-27-109-038-0000 ALDARIAN TAYLOR CHEN ZHAO MU LI 2323 S WABASH APT 16 2323 S WABASH AVE#15 PO BOX 57118 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60657 17-27-109-040-0000 17-27-109-041-0000 17-27-109-042-0000 DANIEL AUDETTE & FRANC JOSEPH GILES JEREMY WILLIAMS 2323 S WABASH AVE#13 2323 S WABASH AVE #12 2323 S WABASH AVE#11 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-109-044-0000 17-27-109-045-0000 17-27-109-043-0000 MICHAEL E GOLDBERG JR MEIHUI LU TIM PREVO 2323 S WABASH #8 2323 S WABASH AVE #10 384 JUNIPER CT CHICAGO, IL 60616 CHICAGO, IL 60616 DELAFIELD, WI 53018 17-27-109-047-0000 17-27-109-046-0000 17-27-109-048-0000 MATT BOROWITZ & MAUREE BHAVESH N PATEL & JAYE THANH PHAM 2323 # 6 SOUTH WABASH 2323 S WABASH AVE#5 2323 S WABASH AVE #7 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-109-050-0000 17-27-109-051-0000 17-27-109-049-0000 JINO & SUSAN JACOB **GILBERT S BAYANI** JAVIER HERRERA 2323 S WABASH AVE #3 2323 # 2 SOUTH WABASH 2323 S WABASH AVE#4 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-109-054-0000 17-27-109-053-0000 17-27-109-052-0000 VINCE DINH KEVIN MOY D & A NASH 2321 S WABASH 3621 S CANAL ST 2323 WABASH AVE 1 CHICAGO, IL 60609 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-109-057-0000 17-27-109-055-0000 17-27-109-056-0000

WENDY MAN

2321 # 3 SOUTH WABASH

CHICAGO, IL 60616

KEELEY H SOROKTI

CHICAGO, IL 60616

2321 S WABASH AVE#4

STEVEN D. ZBYCUT

CHICAGO, IL 60616

2321 # 2 SOUTH WABASH

17-27-109-058-0000 17-27-109-059-0000 17-27-109-060-0000 ING ING LAM MICHAEL ORSENO MILKA L MICIC 2321 S WABASH AVE #5 2138 S INDIANA 2108 2335 S WABASH AVE CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-109-061-0000 17-27-109-062-0000 17-27-109-063-0000 KAPO LUNG M MICIC & D IVANKOVICH PAULINE CHAN 2333 S WABASH AVE 2331 S WABASH 2329 S WABASH CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-109-064-0000 17-27-109-065-0000 17-27-109-066-0000 DAVID DUBIN MARK FRIEDMAN ANNA E DZIAMSKI 2327 SOUTH WABASH 1261 S LLYOD AVE 1976 NORTHLAND AVE CHICAGO, IL 60616 LOMBARD, IL 60148 HIGHLAND PK, IL 60035 17-27-109-067-0000 17-27-109-068-0000 17-27-109-069-0000 TERRENCE R ZGORKA TERRENCE R ZGORKA PARAGAS FAMILY TRUST 9813 CRIMSON TREE LANE 9813 CRIMSON TREE LANE 16520 WILLOW DRIVE MUNSTER, IN 46321 MUNSTER, IN 46321 LEMONT, IL 60439 17-27-109-070-0000 17-27-109-071-0000 17-27-109-072-0000 M & I VAZQUEZ MU LI ROBERT BURKE 2313 S WABASH PO BOX 57118 2309 S WABASH AVE CHICAGO, IL 60616 CHICAGO, IL 60657 CHICAGO, IL 60616 17-27-109-073-0000 17-27-109-074-0000 17-27-109-075-0000 HUGH & CYNTHIA NEWMAN FEDERAL HOME LOAN MTG GLORIA A. UMALI 227 WOODLAWN TERRACE 5000 PLANO PKWY 2303 SOUTH WABASH CARROLLTON, TX 75010 HOLLIDAYSBRG, PA 16648 CHICAGO, IL 60616 17-27-109-076-0000 17-27-109-077-0000 17-27-109-078-0000 BILL CHUN CHUI JAMES MORGAN TERESA TRAVINA 9125 S BARBERRY LN 2321 S WABASH AVE#7 5236 W SEMINOLE ST HICKORY HLS, IL 60457 CHICAGO, IL 60616 CHICAGO, IL 60646 17-27-109-080-0000 17-27-109-081-0000 17-27-109-079-0000 INAE E JANG MAURICE D. & TANIA A. ANEDRA KERR TRUST 2321 S WABASH AVE#9 2321 # 10 AOUTH WABASH 2321 S WABASH AVE#16 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-109-083-0000 17-27-109-084-0000 17-27-109-082-0000 ALEXANDER O EPSTEIN YOUSHENG LIU MU LI 59 E 23RD ST **57 E 23RD STREET** PO BOX 57118

CHICAGO, IL 60616

CHICAGO, IL 60616

17-27-109-085-0000 17-27-109-086-0000 17-27-109-087-0000 TYRONE JUNIOR DOBSON MEIZHEN LU DAVID SHOWERS 55 E 23RD ST BLDG 1 2930 S QUINN ST 51 E 23RD ST CHICAGO, IL 60616 CHICAGO, IL 60608 CHICAGO, IL 60616 17-27-109-088-0000 17-27-109-089-0000 17-27-109-090-0000 JOHNNI ROGERS **BRITTANY STANLEY** DAVID L PARRISH JR 49 E 23RD ST 47 E 23RD ST 45 E 23RD ST CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-109-091-0000 17-27-109-092-0000 17-27-109-093-0000 WABASH TWHMS PHOENIX WABASH CLUB TOWNHOMES WABASH TWNHMS PHOENIX 946 W RANDOLPH 200 946 W RANDOLPH #200 946 W RANDOLPH 200 CHICAGO, IL 60607 CHICAGO, IL 60607 CHICAGO, IL 60607 17-27-110-004-0000 17-27-110-008-0000 17-27-110-009-0000 MOTOR ROW OZ FUND 2 2RD ENTERPRISES INC 2RD ENTERPRISES INC 2317 S MICHIGAN AVE 10607 SOUTH PROSPECT A 10607 SOUTH PROSPECT CHICAGO, IL 60616 CHICAGO, IL 60643 CHICAGO, IL 60643 17-27-110-010-0000 17-27-110-011-0000 17-27-110-012-0000 **RES INV LLC BSB HOLDINGS LLC** YOKO INVEST GROUP SER 2333 S MICHIGAN AVE 1928 N WOOD ST 35 ORCHARD PLACE CHICAGO, IL 60616 CHICAGO, IL 60622 HINSDALE, IL 60521 17-27-110-015-0000 17-27-110-013-0000 17-27-110-014-0000 MCCORMICK HOSPITALITY MCCORMICK HOSPITALITY CHARLES WEAVER 2341 S MICHIGAN 8700 NORTHCOTE AVE 8700 NORTHCOTE AVE CHICAGO, IL 60616 MUNSTER, IN 46321 MUNSTER, IN 46321 17-27-110-018-0000 17-27-110-016-0000 17-27-110-017-0000 COLLEN BECKER NANCY L MURPHY COLLEN BECKER 207 S MAPLE ST 244 WOBURN LN 207 S MAPLE ST ITASCA, IL 60143 ITASCA, IL 60143 SCHAUMBURG, IL 60173 17-27-110-021-0000 17-27-110-019-0000 17-27-110-020-0000 COLLEN BECKER COLLEN BECKER COLLEN BECKER 207 S MAPLE ST 207 S MAPLE ST 207 S MAPLE ST ITASCA, IL 60143 ITASCA, IL 60143 ITASCA, IL 60143 17-27-110-023-0000 17-27-110-022-0000 17-27-110-024-0000 COLLEN BECKER COLLEEN BECKER COLLEEN BECKER 207 S MAPLE STREET 207 S MAPLE ST 207 S MAPLE STREET

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17-27-110-025-0000 17-27-110-026-0000 17-27-110-027-0000 COLLEEN BECKER MOTOR ROW INVESTMENTS 120 E 24TH STREET FEE 207 S MAPLE STREET 2335 SOUTH MICHIGAN 1025 ELDORADO BLVD CHICAGO, IL 60616 BROOMFIELD, CO 80021 ITASCA, IL 60143 17-27-110-028-0000 17-27-110-029-0000 17-27-110-030-0000 120 E 24TH STREET FEE 120 E 24TH STREET FEE 120 E 24TH STREET FEE 1025 ELDORADO BLVD 1025 ELDORADO BLVD 1025 ELDORADO BLVD BROOMFIELD, CO 80021 BROOMFIELD, CO 80021 BROOMFIELD, CO 80021 17-27-110-033-0000 17-27-110-032-0000 17-27-110-035-1001 ENTERTAINMENT RE LLC JAMES CONSIDINE LARRY DAWSON 105 W MADISON ST 1401 2303 S MICHIGAN 201 2323 S MICHIGAN AV CHICAGO, IL 60602 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1004 17-27-110-035-1002 17-27-110-035-1003 JOHN Y HO ELIZABETH KOSTAL **HOLLY FRITZ** 2303 S MICHIGAN 202 2900 BRUCE STATION 2303 S MICHIGAN #204 CHICAGO, IL 60616 CHESAPEAKE, VA 23321 CHICAGO, IL 60616 17-27-110-035-1006 17-27-110-035-1007 17-27-110-035-1005 TORREY L BEASLEY CTLTC 008002376030 DAVID A WROBEL 2303 S MICHIGAN AVE207 10 S LASALLE ST #2750 326 S KINGERY CT ADDISON, IL 60101 CHICAGO, IL 60616 CHICAGO, IL 60603 17-27-110-035-1009 17-27-110-035-1010 17-27-110-035-1008 JIAN FENG LIU RUBEN MENDOZA DARIO M VILLAMAR 2303 S MICHIGAN AVE210 2303 S MICHIGAN AVE208 2303 S MICHIGAN AVE209 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1011 17-27-110-035-1012 17-27-110-035-1013 TAYLIN STONER JARED WILLARD **NICOLE JONES** 2303 S MICHIGAN AVE213 2303 S MICHIGAN AVE212 2303 S MICHIGAN AVE211 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1015 17-27-110-035-1016 17-27-110-035-1014 MARIA CHAVEZ ALLEN M QUADE & THOMAS JOANNA MARTINEZ 2303 S MICHIGAN #216 2303 S MICHIGAN AVE214 2303 S MICHIGAN AVE215 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1019 17-27-110-035-1018 17-27-110-035-1017 MARIYA IVANUS CATHERINE L SKORTZ CALVIN THOMPSON JR 2303 S MICHIGAN AVE301 2303 S MICHIGAN AVE218 2303 S MICHIGAN 217

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17-27-110-035-1020 17-27-110-035-1021 17-27-110-035-1022 JASON YLAGAN KRISTEN ZAKI CAROLINA MORA SOLANO 2303 S MICHIGAN AVE302 2303 S MICHIGAN AVE303 2303 S MICHIGAN AVE304 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1023 17-27-110-035-1024 17-27-110-035-1025 GERARDO ACOSTA HERNAND PATRICK J KEENAN ELLIOTT W DEJAN 2303 S MICHIGAN AVE305 2303 S MICHIGAN AVE307 2303 S MICHIGAN AVE306 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1026 17-27-110-035-1027 17-27-110-035-1028 DAMIEN GETER **GIOVANNI BILELLO** PRAIRIE TAURUS REAL ES 2303 S MICHIGAN AVE308 2303 S MICHIGAN AVE309 332 S MICHIGAN AVE CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60604 17-27-110-035-1029 17-27-110-035-1030 17-27-110-035-1031 FRANK SOLANO ISAAC BURROWS CRYSTAL M SNODDY 2303 S MICHIGAN #311 2303 S MICHIGAN AVE312 2303 S MICHIGAN #313 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1032 17-27-110-035-1033 17-27-110-035-1034 ERIC R SINGH MAGGIE HO ALI KARMALI 9001 OKETO AVENUE 1215 MONROE AVE 2303 S MICHIGAN AVE314 RIVER FOREST, IL 60305 CHICAGO, IL 60616 MORTON GROVE, IL 60053 17-27-110-035-1035 17-27-110-035-1036 17-27-110-035-1037 NADINE LACOMBE ALICE & DONALD MARTIN DAVID J MILLER 2303 S MICHIGAN #401 2303 S MICHIGAN AVE317 2303 S MICHIGAN AVE318 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1039 17-27-110-035-1040 17-27-110-035-1038 MAURICE & TYBRA ARTHUR DEAN HEATH MARK MUCCIANTI 2303 S MICHIGAN #404 2303 S MICHIGAN 402 2303 S MICHIGAN AVE403 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1043 17-27-110-035-1042 17-27-110-035-1041 GREGORY P POKORNEY **NEIL WAUDBY** JESUS SERRANO BRAVO 2303 S MICHIGAN AVE406 2303 S MICHIGAN AVE407 2303 S MICHIGAN AVE405 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1046 17-27-110-035-1044 17-27-110-035-1045 VASCO BRIDGES III JAMES VICTOR NUDO FARIBORZ JALILI 1700 MARGUERITE ST 2303 S MICHIGAN AVE501 2303 S MICHIGAN 502

CHICAGO, IL 60616

CHICAGO, IL 60616

PARK RIDGE, IL 60068

17-27-110-035-1047 17-27-110-035-1048 17-27-110-035-1049 **KEVIN & MIKE HANNON** CHRISTOPHER LEWIS JORGE C POMAREDA 2303S MICHIGAN AVE 503 2303 S MICHIGAN AVE504 2303 S MICHIGAN AV 505 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1052 17-27-110-035-1050 17-27-110-035-1051 PAUL M RANDALL MAKENZIE CAYA BRANDON VAN D EPINGER 2303 S MICHIGAN AV 506 2303 S MICHIGAN AVE507 2303 S MICHIGAN 508 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1053 17-27-110-035-1054 17-27-110-035-1055 DAVID J MILLER JASON YLAGAN CATHERINE L SKORTZ 2303 S MICHIGAN AVE317 2303 S MICHIGAN AVE302 2303 S MICHIGAN AVE218 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1056 17-27-110-035-1057 17-27-110-035-1058 DARIO M VILLAMAR KRISTEN ZAKI **NEIL WAUDBY** 2303 S MICHIGAN AVE208 2303 S MICHIGAN AVE303 2303 S MICHIGAN AVE407 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1059 17-27-110-035-1060 17-27-110-035-1061 JARED WILLARD VAN D EPINGER ISAAC BURROWS 2303 S MICHIGAN AVE211 2303 S MICHIGAN 508 2303 S MICHIGAN AVE312 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1062 17-27-110-035-1063 17-27-110-035-1064 **FARIBORZ JALILI** MAKENZIE CAYA BRANDON **NICOLE JONES** 1700 MARGUERITE ST 2303 S MICHIGAN AVE507 2303 S MICHIGAN AVE212 PARK RIDGE, IL 60068 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1066 17-27-110-035-1067 17-27-110-035-1065 **HOLLY FRITZ** PATRICK J KEENAN JESUS SERRANO BRAVO 2303 S MICHIGAN #204 2303 S MICHIGAN AVE306 2303 S MICHIGAN AVE405 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1070 17-27-110-035-1068 17-27-110-035-1069 DIEGO BONILLA ALLEN M QUADE & THOMAS ALI KARMALI 2303 S MICHIGAN AVE 2303 S MICHIGAN AVE214 1215 MONROE AVE

RIVER FOREST, IL 60305

2303 S MICHIGAN AV 506

17-27-110-035-1072

PAUL M RANDALL

CHICAGO, IL 60616

CHICAGO, IL 60616

17-27-110-035-1073

CHICAGO, IL 60604

PRAIRIE TAURUS REAL ES

332 S MICHIGAN AVE STE

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2303 S MICHIGAN #216

CHICAGO, IL 60616

MARIA CHAVEZ

17-27-110-035-1074 17-27-110-035-1075 17-27-110-035-1076 GREGORY P POKORNEY JOANNA MARTINEZ CHRISTOPHER LEWIS 2303 S MICHIGAN AVE406 2303 S MICHIGAN AVE215 2303 S MICHIGAN AVE504 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1077 17-27-110-035-1078 17-27-110-035-1079 TORREY L BEASLEY TAYLIN STONER KEVIN HANNON 2303 S MICHIGAN AVE207 2303 S MICHIGAN AVE213 2303 S MICHIGAN #503 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1080 17-27-110-035-1081 17-27-110-035-1082 DAMIEN GETER CRYSTAL M SNODDY JAMES NUDO 2303 S MICHIGAN AVE308 2303 S MICHIGAN #313 2303 S MICHIGAN 501 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1083 17-27-110-035-1084 17-27-110-035-1085 JOHN Y HO MAGGIE HO LARRY DAWSON 2303 S MICHIGAN 202 9001 OKETO AVENUE 2303 S MICHIGAN 201 CHICAGO, IL 60616 MORTON GROVE, IL 60053 CHICAGO, IL 60616 17-27-110-035-1086 17-27-110-035-1087 17-27-110-035-1088 ELLIOTT W DEJAN CALVIN THOMPSON GIOVANNI BILELLO 2303 S MICHIGAN AVE307 2303 S MICHIGAN AV 217 2303 S MICHIGAN AVE309 CHICAGO, IL 60616 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1089 17-27-110-035-1090 17-27-110-035-1091 RUBEN MENDOZA MAURICE & TYBRA ARTHUR DAVID A WROBEL 2303 S MICHIGAN #404 2303 S MICHIGAN AVE210 326 S KINGERY CT CHICAGO, IL 60616 CHICAGO, IL 60616 ADDISON, IL 60101 17-27-110-035-1094 17-27-110-035-1092 17-27-110-035-1093 AGORA PROPERTIES INC ERIC R SINGH GERARDO ACOSTA HERNAND PO BOX 803 2303 S MICHIGAN AVE314 2303 S MICHIGAN AVE305 CHICAGO, IL 60616 CHICAGO, IL 60616 BEVERLY SHOR, IN 46301 17-27-110-035-1097 17-27-110-035-1095 17-27-110-035-1096 CTLTC 008002376030 VASCO BRIDGES III NADINE LACOMBE 2303 S MICHIGAN AVE318 10 S LASALLE ST #2750 2303 S MICHIGAN 502 CHICAGO, IL 60603 CHICAGO, IL 60616 CHICAGO, IL 60616 17-27-110-035-1099 17-27-110-035-1100 17-27-110-035-1098 ALICE & DON MARTIN DEAN HEATH JORGE C POMAREDA 2303 S MICHIGAN 402 2303 S MICHIGAN AV P51 2303 S MICHIGAN #401

CHICAGO, IL 60616

CHICAGO, IL 60616

17-27-110-035-1101 MARK MUCCIANTI 2303 S MICHIGAN AVE403 CHICAGO, IL 60616

17-27-110-037-0000 THE STUTTLEY GROUP AT 18300 DIXIE HWY FL 2 HOMEWOOD, IL 60430

\*17-27-109-025-0000 CHICAGO BD EDUCATION 1819 W. PERSHING CHICAGO, IL 60609

17-27-109-028-1025 LOFTS ON THE ROW CONDOMINIUM ASSOCIATION C/O AGENT SCOTT T SEGER 22 EAST CULLERTON STE 1 CHICAGO, IL 60616 17-27-110-035-1102 MARIYA IVANUS 2303 S MICHIGAN AVE301 CHICAGO, IL 60616

\*17-27-109-018-0000 CHICAGO BD EDUCATION 1819 W. PERSHING CHICAGO, IL 60609

17-27-108-016-0000 COLLEEN BECKER 207 S MAPLE STREET ITASCA, IL 60143

17-27-110-035-1025 MOTOR ROW LOFTS AT 2301-2315 SOUTH MICHIGAN AVENUE CONDOMINIUM ASSOCIATION C/O PRESIDENT DONALD MARTIN 2303 S MICHIGAN AVE 401 CHICAGO IL 60616 17-27-110-036-0000 WESTBROOK RE 1 LLC 2301 S MICHIGAN AVE CHICAGO, IL 60616

\*17-27-109-024-0000 CHICAGO BD EDUCATION 1819 W. PERSHING CHICAGO, IL 60609

17-27-109-028-1025 LOFTS ON THE ROW CONDOMINIUM ASSOCIATION C/O PRESIDENT SUNG YOO 2332 S MICHIGAN AVE# 401 CHICAGO, IL 60616

17-27-110-035-1025 MOTOR ROW LOFTS AT 2301-2315 SOUTH MICHIGAN AVENUE CONDOMINIUM ASSOCIATION C/O AGENT KSN REGISTERED AGENT, LLC 175 N ARCHER AVE MUNDELEIN ,IL 60060-2301

## **CITY OF CHICAGO** ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

Ver.2018-1

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: 2328 S Michigan Owner LLC   |                     |   |
|--|---------------------|---|
| Check ONE of the following three b   | oxes:               |   |
| the contract, transaction or other under "Matter"), a direct or indirect interest in name:   | ing, or anticipated | DS is:  d to hold within six months after City action on his EDS pertains (referred to below as the in the Applicant. State the Applicant's legal |
| OR 3. [ ] a legal entity with a direct of State the legal name of the entity in whether the state of the entity in which is the entity in the entity in the entity in which is the entity in the entity i | _                   | Control of the Applicant (see Section II(B)(1)) ag Party holds a right of control:  |
| B. Business address of the Disclosing  | Party: 360 N        | orth State Street Chicago, IL 60654   |
| C. Telephone: 312.550.9388   |                     | Email: nickanderson@fernhill company.com  |
| D. Name of contact person:  Nick And E. Federal Employer Identification No.  |                     | e):   |
| F. Brief description of the Matter to w property, if applicable):  | hich this EDS pe    | rtains. (Include project number and location of   |
| PD Application for the property loca   | ted at 2328 Sout    | h Michigan Ave, Chicago, IL   |
| G. Which City agency or department i   | s requesting this   | EDS?  |
| If the Matter is a contract being handle complete the following:   | ed by the City's D  | epartment of Procurement Services, please   |
| Specification #  | and Co              | ntract #  |
| Ver.2018-1   | Page 1 of 15        |   |

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature of the Disclosing Part         | ty:  |
|---|--|
| [ ] Person  | [X] Limited liability company                                |
| [ ] Publicly registered business corporation          | [ ] Limited liability partnership                            |
| [ ] Privately held business corporation               | [ ] Joint venture  |
| [ ] Sole proprietorship                               | [ ] Not-for-profit corporation                               |
| [ ] General partnership                               | (Is the not-for-profit corporation also a 501(c)(3))?        |
| Trust   | [] Yes [] No [] Other (please specify)                       |
|   | [ ] Other (prease specify)                                   |
|   | -  |
| 2. For legal entities, the state (or foreign country) | ry) of incorporation or organization, if applicable:         |
| Delaware  |  |
|   |  |
|   | of Illinois: Has the organization registered to do           |
| business in the State of Illinois as a foreign enti-  | ty?  |
| [X] Yes [ ] No  | Organized in Illinois  |
| []  |  |
| B. IF THE DISCLOSING PARTY IS A LEGA                  | L ENTITY:  |
| 1. List below the full names and titles, if app       | licable, of: (i) all executive officers and all directors of |
|   | all members, if any, which are legal entities (if there      |
| * : ` :   | are legal entities"); (iii) for trusts, estates or other     |
|   | rator, or similarly situated party; (iv) for general or      |
| limited partnerships, limited liability compar        | nies, limited liability partnerships or joint ventures,      |
|   | ger or any other person or legal entity that directly or     |
| indirectly controls the day-to-day management of      | of the Applicant.  |
| NOTE: Each legal entity listed below must sub-        | mit an EDS on its own behalf.                                |
| Name  | Title  |
| 2328 S Michigan QOZB LLC                              | Sole Member of 2328 S Michigan Owner LLC                     |
| 2328 S Michigan JV LLC                                | Managing Member of 2328 S Michigan QOZB LLC                  |
|   |  |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. **Business Address** Name Percentage Interest in the Applicant Fern Hill QOF LLC 2800 Niagara Lane Plymouth, MN 55447 33% Decennial Opportunity Zone Fund I LLC 120 N. Racine Chicago IL 60607 33% Rebel 2328 South Michigan QOF, LLC 215 W Ontario St Chicago, IL 60654 33% SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? X No [ ] Yes Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? XI No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [ ] Yes X No

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic

partner(s) and describe the financial interest(s).

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

| Name (indicate whether retained or anticipated Address to be retained) |                  | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) |              | Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is |
|--|------------------|--|--------------|--|
| DLA Piper 444 West La  | ke St Chicago    | IL Zoning Counsel  | \$50,000     | not an acceptable response. (estimated)  |
|  |                  |  |              |  |
| (Add sheets if necessary)  |                  |  |              |  |
| [ ] Check here if the Disc   | closing Party ha | as not retained, nor ex  | spects to re | etain, any such persons or entities.   |
| SECTION V CERTIF   | ICATIONS         |  |              |  |
| A. COURT-ORDERED   | CHILD SUPPO      | ORT COMPLIANCE   |              |  |
| Under MCC Section 2-92 remain in compliance with                       |                  |  |              | nat contract with the City must e contract's term.                                   |
| Has any person who direct arrearage on any child sup                   |                  |  |              | closing Party been declared in etent jurisdiction?                                   |
| []Yes [X]No []?  | No person direc  | ctly or indirectly own   | s 10% or n   | nore of the Disclosing Party.  |
| If "Yes," has the person er is the person in compliance                |                  |  | ent for pay  | ment of all support owed and   |
| []Yes []No   |                  |  |              |  |

#### **B. FURTHER CERTIFICATIONS**

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications. |
|--|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:          |

| None |  |
|------|--|
|      |  |

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [X] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-3  |   | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain   |
|--|---|--|
|  |   |  |
|  | " the word "None," or no response a umed that the Disclosing Party certification.                                     | appears on the lines above, it will be fied to the above statements.   |
| D. CERTIFICAT  | ION REGARDING FINANCIAL II  | NTEREST IN CITY BUSINESS   |
| Any words or term  | ns defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.  |
| after reasonable in  |   | ne best of the Disclosing Party's knowledge<br>e of the City have a financial interest in his or<br>ntity in the Matter?   |
| [ ] Yes  | [X] No  |  |
|  | ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to   | to Items D(2) and D(3). If you checked "No" Part E.  |
| official or employ<br>other person or en<br>taxes or assessmen<br>"City Property Sal | ee shall have a financial interest in latity in the purchase of any property ats, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter in   | volve a City Property Sale?   |  |
| [ ] Yes  | [X] No  |  |
|  |   | mes and business addresses of the City officials fy the nature of the financial interest:  |
| Name   | Business Address  | Nature of Financial Interest   |
|  |   |  |
|  | g Party further certifies that no prohity official or employee.   | ibited financial interest in the Matter will be  |

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.   |
|---|
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
|   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |
|   |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on   |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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behalf of the Disclosing Party with respect to the Matter.)

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the A   | pplicant?  |   |
|---|--|---|
| [ ] Yes   | ] No   |   |
| If "Yes," answer the three q  | estions below:   |   |
| <ol> <li>Have you developed and federal regulations? (See 4</li> <li>Yes</li> </ol> | do you have on file affirmative action programs pursuant to applicable CFR Part 60-2.)  ] No |   |
| Compliance Programs, or the applicable filing requirement                           |  |   |
| [ ] Yes   | [] No [] Reports not required  |   |
| equal opportunity clause?   | any previous contracts or subcontracts subject to the  |   |
| [ ] Yes   | ] No   |   |
| If you checked "No" to ques   | tion (1) or (2) above, please provide an explanation:  |   |
|   |  | _ |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| 2328 S MICHIGAN OWNER LLC  |  |
|--|--|
| (Print or type exact legal pame of Disclosing Party)  By:  (Sign here) |  |
| Nick Anderson  |  |
| (Print or type name of person signing)                                 |  |
| Designated Responsible Party   |  |
| (Print or type title of person signing)                                |  |
| Signed and sworn to before me on (date) 03/21/26                       |  |
| at Cook County, 12 (state).  Notary Public                             | R LAURIE<br>Official Seal<br>Notary Public - State of Illinois<br>My Commission Expires Sep 22, 2026 |
| Commission expires: 09/22/26   |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes           | [X] No   |                           |
|-------------------|--|---------------------------|
| which such person | lentify below (1) the name and title of such person, (2) the n is connected; (3) the name and title of the elected city office has a familial relationship, and (4) the precise nature of such | ial or department head to |
|                   |  |                           |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# **BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| 1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?  |         |                |   |  |  |
|---|---------|----------------|---|--|--|
|   | [ ] Yes | <b>[X</b> ] No |   |  |  |
| 2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?                                     |         |                |   |  |  |
|   | [ ] Yes | [ ] No         | [X] The Applicant is not publicly traded on any exchange. |  |  |
| 3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply. |         |                |   |  |  |
|   |         |                |   |  |  |
|   |         |                |   |  |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

|  | [ ] No  |         |
|--|---|---------|
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).  If you checked "no" to the above, please explain. | [X] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-9  | )2-385. |
| If you checked "no" to the above, please explain.  | This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1 | ).      |
|  | If you checked "no" to the above, please explain.                                     |         |
|  |   |         |
|  |   |         |
|  |   |         |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Disclosing Party subm  | nitting this EDS. Include d/b/a/ if applicable:   |
|---|---|
| Check ONE of the following three boxes:   |   |
| "Matter"), a direct or indirect interest in exc<br>name: 2328 S Michigan Owner LLC            | itting this EDS is:  or anticipated to hold within six months after City action on g to which this EDS pertains (referred to below as the ess of 7.5% in the Applicant. State the Applicant's legal |
| OR 3. [] a legal entity with a direct or indi State the legal name of the entity in which the | rect right of control of the Applicant (see Section II(B)(1)) ne Disclosing Party holds a right of control:   |
| B. Business address of the Disclosing Party   | : 360 N State Street Chicago, IL 60654  |
| C. Telephone: 312.550.9388 Fax: Fax:  |   |
| D. Name of contact person:  E. Federal Employer Identification No. (if y                      |   |
|   | this EDS pertains. (Include project number and location of  |
| PD Application for proposed project loc   | ated at 2328 South Michigan Ave,.   |
| G. Which City agency or department is requ  | Department of Planning lesting this EDS?  |
| If the Matter is a contract being handled by t complete the following:                        | he City's Department of Procurement Services, please  |
| Specification #   | and Contract #  |
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Person K | Limited liability company [ ] Limited liability partnership [ ] Publicly registered business corporation [ ] Privately held business corporation [ ] Joint venture [ ] Sole proprietorship [ ] Not-for-profit corporation General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership [ ] Yes []No [ ] Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Delaware 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? X] No [ ] Organized in Illinois [ ] Yes B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Nick Anderson **Designated Responsible Party**

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| NOTE                   | E: Each legal e                        | ntity listed below may be re   | quired to submit an EDS                                  | on its own behal                  | lf.                        |
|------------------------|--|--|--|-----------------------------------|----------------------------|
| Name                   | Irvin Kessler                          | Business Address<br>2800 Niagara Lane Plymo  | Percentage outh MN 55447 15.2%                           | Interest in the A                 | Applicant                  |
|                        | Phillip Jaffe                          | 2800 Niagara Lane Plymo  | outh, MN 55447 12.7%                                     |                                   |                            |
|                        |  |  |  |                                   |                            |
|                        | ION III INC<br>CIALS                   | COME OR COMPENSAT  | TION TO, OR OWNERS                                       | SHIP BY, CITY                     | Y ELECTED                  |
|                        | _                                      | earty provided any income of ceding the date of this EDS?  | -  | ty elected officia<br>[ ] Yes     | al during the [X] No       |
|                        | _                                      | Party reasonably expect to pg the 12-month period follow   |  | -                                 | ny City<br>[ <b>X</b> ] No |
| -                      |  | he above, please identify bel<br>e or compensation:  | low the name(s) of such C                                | lity elected offic                | cial(s) and                |
| inquiry<br>Chapte<br>[ | y, any City elector 2-156 of the ] Yes | d official or, to the best of the cted official's spouse or dom Municipal Code of Chicago [X] No | nestic partner, have a finate of ("MCC")) in the Disclos | ncial interest (as<br>sing Party? | defined in                 |
|                        |  | be the financial interest(s).  | ·  |                                   |                            |
|                        |  |  |  |                                   |                            |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

| Name (indicate whether retained or anticipated to be retained)  DI A Piper 444 West | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)  t Chicago IL, Zoning Counsel estin | Fees (indicate whether paid or estimated.) NOTE:  "hourly rate" or "t.b.d." is not an acceptable response. |  |  |
|---|------------------|--|--|--|--|
| BETT IPOT THE WOOL  | Lake Of Co.      | Combago IL , Zoming Councer Coun   | 121CU 10C \$00,000   |  |  |
| (Add sheets if necessary)   |                  |  |  |  |  |
| [ ] Check here if the Disc  | closing Party    | y has not retained, nor expects to re  | tain, any such persons or entities.  |  |  |
| SECTION V CERTIFICATIONS  |                  |  |  |  |  |
| A. COURT-ORDERED  | CHILD SUI        | PPORT COMPLIANCE   |  |  |  |
|   |                  | antial owners of business entities the support obligations throughout the                                      |  |  |  |
| • •   |                  | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe                                     | · .  |  |  |
| []Yes [X]No []]   | No person d      | irectly or indirectly owns 10% or m  | nore of the Disclosing Party.  |  |  |
| If "Yes," has the person e  | ntered into a    | a court-approved agreement for pay   | ment of all support owed and   |  |  |

#### B. FURTHER CERTIFICATIONS

No

is the person in compliance with that agreement?

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

[ ] Yes

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

| None |  |  |
|------|--|--|
|      |  |  |
|      |  |  |

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

| None |  |  |  |  |
|------|--|--|--|--|
|      |  |  |  |  |

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

| None   |  |
|--------|--|
| 110.10 |  |

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [X] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-32   |   | ecause it or any of its affiliates (as defined in n the meaning of MCC Chapter 2-32, explain  |
|--|---|---|
|  |   |   |
|  | the word "None," or no response a med that the Disclosing Party certif  | ppears on the lines above, it will be ied to the above statements.  |
| D. CERTIFICATI   | ON REGARDING FINANCIAL IN   | TEREST IN CITY BUSINESS   |
| Any words or term  | s defined in MCC Chapter 2-156 ha   | eve the same meanings if used in this Part D.   |
| after reasonable in  |   | te best of the Disclosing Party's knowledge of the City have a financial interest in his or atity in the Matter?  |
| [ ] Yes  | <b>[</b> K] No  |   |
|  | ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to   | o Items D(2) and D(3). If you checked "No" Part E.  |
| official or employed<br>other person or ent<br>taxes or assessmen<br>"City Property Sale | te shall have a financial interest in hit ity in the purchase of any property ts, or (iii) is sold by virtue of legal 1 | dding, or otherwise permitted, no City elected is or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain e meaning of this Part D. |
| Does the Matter in   | volve a City Property Sale?   |   |
| [ ] Yes  | <b>[X</b> ] No  |   |
|  |   | nes and business addresses of the City officials by the nature of the financial interest:   |
| Name   | Business Address  | Nature of Financial Interest  |
|  |   |   |
| <u> </u>   |   |   |
|  |   |   |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  A. CERTIFICATION REGARDING LOBBYING  1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
|--|
| <b>federally funded</b> , proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.   |
| federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by  |
|  |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS   |
|  |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:  |
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profifrom slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.   |
| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.  |

appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and will not expend any federally appropriated funds to party has not spent and the federally appropriated funds to party has not spent and the federal f

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the   | Applicant?              |   |
|---|-------------------------|---|
| [ ] Yes   | [ ] No                  | ID  |
| If "Yes," answer the three  | questions below:        |   |
| <ol> <li>Have you developed an federal regulations? (See 4)</li> <li>Yes</li> </ol> |                         | file affirmative action programs pursuant to applicable .)  |
|   | he Equal Employ<br>nts? | Committee, the Director of the Office of Federal Contract<br>ement Opportunity Commission all reports due under the<br>Reports not required |
| [] i es   | []140 []                | Reports not required  |
| <ol> <li>Have you participated i equal opportunity clause?</li> <li>Yes</li> </ol>  | n any previous co       | ontracts or subcontracts subject to the   |
| [ ] Tes   | [ ]140                  |   |
| If you checked "No" to que  | stion (1) or (2) al     | pove, please provide an explanation:  |
|   |                         |   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| FERN HILL QOF LLC   |   |
|---|---|
| (Print or type exact regal name of Disclosing Party)  By:  (Sign here)                    |   |
| Nick Anderson   |   |
| (Print or type name of person signing)  |   |
| Designated Responsible Party  |   |
| (Print or type title of person signing)   |   |
| Signed and sworn to before me on (date) 03/31/2)  at Cosk County, (state).  Notary Public | R LAURIE Official Seal Notary Public - State of Illinois My Commission Expires Sep 22, 2026 |
| Commission expires: $09(22/26)$   |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [] Yes           | [ <b>X</b> ] No            |   |
|------------------|----------------------------|---|
| which such perso | n is connected; (3) the na | me and title of such person, (2) the name of the legal entity to<br>me and title of the elected city official or department head to<br>hip, and (4) the precise nature of such familial relationship. |
|                  |                            |   |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|         |                    | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|---------|--------------------|---|
| [ ] Yes | [X] No             |   |
| 1 1     | • • •              | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section            |
| [ ] Yes | [ ] No             | [X] The Applicant is not publicly traded on any exchange.   |
|         | cofflaw or problen | entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which |
|         |                    |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<a href="www.amlegal.com">www.amlegal.com</a>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| [ ] Yes   |  |
|---|--|
| [ ] No  |  |
| [X] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385 |  |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).   |  |
| If you checked "no" to the above, please explain.   |  |
|   |  |
|   |  |
|   |  |
|   |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Disci  | osing Party submitting this EDS. Include d/b/a/ if applicable:  Zone Fund I LLC   |
|---|---|
| Check ONE of the following  | ng three boxes:   |
| <ol> <li>[ ] the Applicant OR</li> <li>[X] a legal entity cur the contract, transaction or</li> </ol> | rently holding, or anticipated to hold within six months after City action on other undertaking to which this EDS pertains (referred to below as the ct interest in excess of 7.5% in the Applicant. State the Applicant's legal of Owner LLC |
| 3. [ ] a legal entity wit   | h a direct or indirect right of control of the Applicant (see Section II(B)(1)) entity in which the Disclosing Party holds a right of control:  |
| B. Business address of the  | Disclosing Party: 120 N. Racine Ave., Floor 2  Chicago, IL 60607  |
| C. Telephone: 312-315-26  | Fax: N/A Email: joe@decennialgroup.com  |
| D. Name of contact person   | Joe Kreeger   |
| E. Federal Employer Ident   | fication No. (if you have one): 83-4493278  |
| property, if applicable):   | Matter to which this EDS pertains. (Include project number and location of cosed project located at 2328 South Michigan Ave,.   |
|   |   |
| G. Which City agency or d   | Department of Planning epartment is requesting this EDS?  |
| If the Matter is a contract be complete the following:  | eing handled by the City's Department of Procurement Services, please   |
| Specification #   | and Contract #  |
| Ver.2018-1  | Page 1 of 15  |

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Person **K** Limited liability company [ ] Limited liability partnership [ ] Publicly registered business corporation Privately held business corporation [ ] Joint venture [ ] Sole proprietorship [ ] Not-for-profit corporation [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership [ ] Yes []No [ ] Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Delaware 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? X] No [ ] Organized in Illinois [ ] Yes B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Name Title Decennial Opportunity Zone Fund I GP LLC Special Member

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| <b>NOTE</b> : Each legal entity listed below may be required to submit an EDS on its own behalf. |  |                            | •                       |                   |
|--|--|----------------------------|-------------------------|-------------------|
| Name<br>None   | Business Address   | Percentage Int             | terest in the Ap        | oplicant          |
|  |  |                            |                         |                   |
|  |  |                            |                         |                   |
| SECTION III<br>OFFICIALS   | INCOME OR COMPENSATION   | TO, OR OWNERSH             | IP BY, CITY             | ELECTE            |
|  | ng Party provided any income or compreceding the date of this EDS?   | pensation to any City e    | lected official [ ] Yes | during the [X] No |
|  | ing Party reasonably expect to providuring the 12-month period following   | _                          | _                       | City<br>[X] No    |
|  | of the above, please identify below the come or compensation:  | ne name(s) of such City    | elected officia         | al(s) and         |
|  |  |                            | 1 0                     |                   |
| inquiry, any City  | ected official or, to the best of the Distellected official's spouse or domestic the Municipal Code of Chicago ("Mo" No") No | partner, have a financia   | al interest (as c       |                   |
| - · · · ·  | dentify below the name(s) of such Cit scribe the financial interest(s).  | ty elected official(s) and | 1/or spouse(s)/         | domestic          |
|  |  |                            |                         |                   |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees ( <u>indicate whether</u> <u>paid or estimated</u> .) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|--|--|
|  |                     |  |  |
| (Add sheets if necessary)                                      |                     |  |  |
| [x] Check here if the Disc                                     | closing Party       | has not retained, nor expects to ret                                       | ain, any such persons or entities.   |
| SECTION V CERTII   | FICATIONS           | S  |  |
| A. COURT-ORDERED   | CHILD SUF           | PPORT COMPLIANCE   |  |
| 720  |                     | ntial owners of business entities the support obligations throughout the   | -  |
|  |                     | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe |  |
| []Yes [X]No []   | No person di        | irectly or indirectly owns 10% or m  | ore of the Disclosing Party.   |
| If "Yes," has the person e is the person in compliant          |                     | court-approved agreement for payagreement?                                 | ment of all support owed and   |
| [] Yes [X] No  |                     |  |  |
| B. FURTHER CERTIFIC  | CATIONS             |  |  |
|  |                     |  |  |

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.  |
|---|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:   |
| None  |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  |
| None  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
|   |
|   |

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[ ] is [X] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-32   |  | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain   |
|--|--|--|
|  |  |  |
|  | " the word "None," or no response a<br>amed that the Disclosing Party certif   | ppears on the lines above, it will be fied to the above statements.  |
| D. CERTIFICAT  | ION REGARDING FINANCIAL II   | NTEREST IN CITY BUSINESS   |
| Any words or term  | ns defined in MCC Chapter 2-156 h  | ave the same meanings if used in this Part D.  |
| after reasonable in  |  | ne best of the Disclosing Party's knowledge<br>e of the City have a financial interest in his or<br>ntity in the Matter?   |
| [ ] Yes  | <b>Ķ</b> ] No  |  |
|  | ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to  | to Items D(2) and D(3). If you checked "No" o Part E.  |
| official or employed<br>other person or ent<br>taxes or assessment<br>"City Property Sal | ee shall have a financial interest in he tity in the purchase of any property ats, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter in   | volve a City Property Sale?  |  |
| []Yes  | [X] No   |  |
| •  | , , , ,  | mes and business addresses of the City officials fy the nature of the financial interest:  |
| Name   | Business Address   | Nature of Financial Interest   |
|  |  |  |
|  | g Party further certifies that no prohity official or employee.  | ibited financial interest in the Matter will be  |

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| connection with the water voidable by the City.   |
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records   |

| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of        |
|---|
| the Disclosing Party and any and all predecessor entities regarding records of investments or profits |
| from slavery or slaveholder insurance policies during the slavery era (including insurance policies   |
| issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and  |
| the Disclosing Party has found no such records.   |
|   |

| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the |
|--|
| Disclosing Party has found records of investments or profits from slavery or slaveholder insurance |
| policies. The Disclosing Party verifies that the following constitutes full disclosure of all such |
| records, including the names of any and all slaves or slaveholders described in those records:     |
|  |
|  |
|  |
|  |

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

**NOTE**: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

| 1. List below the names of all persons or entities registered under the federal Lobbying  |        |
|---|--------|
| Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disc | losing |
| Party with respect to the Matter: (Add sheets if necessary):                              |        |
|   |        |
|   |        |
|   |        |
|   |        |
|   |        |

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the  | Applicant?           |   |
|--|----------------------|---|
| [ ] Yes  | [ ] No               |   |
| If "Yes," answer the three of  | questions below:     |   |
| <ol> <li>Have you developed an federal regulations? (See 4</li> <li>Yes</li> </ol> | •                    | file affirmative action programs pursuant to applicable   |
|  | he Equal Employn     | ommittee, the Director of the Office of Federal Contract<br>nent Opportunity Commission all reports due under the |
| [ ] Yes  | []No []I             | Reports not required  |
| 3. Have you participated in equal opportunity clause?                              | n any previous cor   | ntracts or subcontracts subject to the  |
| []Yes  | [ ] No               |   |
| If you checked "No" to que   | stion (1) or (2) abo | ove, please provide an explanation:   |
|  |                      |   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

#### DECENNIAL OPPORTUNITY ZONE FUND I LLC

| (D' 1 C D' 1 C D C D' 1 C D C D C D C D C D C D C D C D C D C |  |
|---|--|
| (Print or type exact legal name of Disclosing Party)          |  |
| By: Jee l   |  |
| (Sign here)   |  |
| Joe Kreeger   |  |
| (Print or type name of person signing)                        |  |
| General Counsel and Authorized Signatory                      |  |
| (Print or type title of person signing)                       |  |
|   |  |
| Signed and sworn to before me on (date) <u>03/22/2024</u>     | ,  |
| at Cook County, Illinois (state).                             |  |
| het & buy   | MARTHA J SANCHEZ   |
| Notary Public   | Official Seal<br>Notary Public - State of Illinois<br>My Commission Expires Feb 10, 2027 |
| Commission expires: 02/10/2027                                |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes          | [X] No                      |   |
|------------------|-----------------------------|---|
| which such perso | n is connected; (3) the nar | ne and title of such person, (2) the name of the legal entity to<br>me and title of the elected city official or department head to<br>hip, and (4) the precise nature of such familial relationship. |
|                  |                             |   |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| _   |   |        |  |  |
|---|---|--------|--|--|
| 3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply. |   |        |  |  |
|   | [ ] Yes   | [ ] No | [X ] The Applicant is not publicly traded on any exchange. |  |
| 2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?                                     |   |        |  |  |
|   | [ ] Yes   | [X] No |  |  |
|   | Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code offlaw or problem landlord pursuant to MCC Section 2-92-416? |        |  |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVITAPPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| [ ] Yes  |
|--|
| [ ] No   |
| [X] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).    |
| If you checked "no" to the above, please explain.  |
|  |
|  |
|  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: |  |  |  |
|--|--|--|--|
| Decennial Opportunity Zone Fund I GP LLC   |  |  |  |
| Check ONE of the following three boxes:  |  |  |  |
| the contract, transaction or other undertaking to  | ticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal |  |  |
| OR   | right of control of the Applicant (see Section II(B)(1)) isclosing Party holds a right of control:   |  |  |
| B. Business address of the Disclosing Party:   | 120 N. Racine Ave., Floor 2 Chicago, IL 60607  |  |  |
| C. Telephone: 312-315-2619 Fax: N/A  | Email: joe@decennialgroup.com  |  |  |
| D. Name of contact person: _Joe Kreeger  |  |  |  |
| E. Federal Employer Identification No. (if you h   | nave one):83-4498977   |  |  |
| F. Brief description of the Matter to which this l property, if applicable):             | EDS pertains. (Include project number and location of  |  |  |
| PD Application for proposed project located  | at 2328 South Michigan Ave,.   |  |  |
| G. Which City agency or department is requesting   | Department of Planning   |  |  |
| If the Matter is a contract being handled by the Complete the following:                 | City's Department of Procurement Services, please  |  |  |
| Specification #  | and Contract #   |  |  |

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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature of the Disclosing Party:   |   |  |  |  |
|---|---|--|--|--|
| [ ] Person  | [X] Limited liability company                           |  |  |  |
| [ ] Publicly registered business corporation  | [ ] Limited liability partnership                       |  |  |  |
| [ ] Privately held business corporation   | [ ] Joint venture                                       |  |  |  |
| [ ] Sole proprietorship   | [ ] Not-for-profit corporation                          |  |  |  |
| [ ] General partnership   | (Is the not-for-profit corporation also a 501(c)(3))?   |  |  |  |
| [ ] Limited partnership   | [] Yes [] No  |  |  |  |
| [ ] Trust   | [ ] Other (please specify)                              |  |  |  |
| <ol> <li>For legal entities, the state (or foreign count</li> <li>Delaware</li> </ol>   | try) of incorporation or organization, if applicable:   |  |  |  |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign ent   | of Illinois: Has the organization registered to do ity? |  |  |  |
| [ ] Yes [X] No  | [ ] Organized in Illinois                               |  |  |  |
| B. IF THE DISCLOSING PARTY IS A LEGA  | AL ENTITY:  |  |  |  |
| 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. |   |  |  |  |
| NOTE: Each legal entity listed below must sub   | omit an EDS on its own behalf.                          |  |  |  |
| Name<br>Decennial Group, LLC  | Title<br>Managing Member                                |  |  |  |
|   |   |  |  |  |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. |  |                         |                                      |                      |  |
|--|--|-------------------------|--------------------------------------|----------------------|--|
| Name<br>None   | Business Address   | Percentage In           | Percentage Interest in the Applicant |                      |  |
|  |  |                         |                                      |                      |  |
|  |  |                         |                                      |                      |  |
| SECTION III -<br>OFFICIALS   | INCOME OR COMPENSATION   | ГО, OR OWNERSH          | IP BY, CIT                           | Y ELECTED            |  |
|  | ing Party provided any income or comp<br>I preceding the date of this EDS?   | ensation to any City e  | elected offici                       | al during the [X] No |  |
|  | sing Party reasonably expect to provide<br>during the 12-month period following the  |                         |                                      | ny City<br>[X] No    |  |
|  | of the above, please identify below the come or compensation:  | name(s) of such City    | elected offi                         | cial(s) and          |  |
| inquiry, any City  | lected official or, to the best of the Disc<br>y elected official's spouse or domestic p<br>f the Municipal Code of Chicago ("MC<br>[X] No | artner, have a financi  | al interest (a                       |                      |  |
|  | dentify below the name(s) of such City escribe the financial interest(s).  | elected official(s) and | d/or spouse(                         | s)/domestic          |  |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

state "None."

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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| Name (indicate whether retained or anticipated to be retained)  | Business<br>Address  | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)   | Fees ( <u>indicate whether</u> <u>paid or estimated</u> .) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response. |  |
|---|--|--|--|--|
|   |  |  |  |  |
| (Add sheets if necessary)   |  |  |  |  |
| [x] Check here if the Disc  | closing Party  | has not retained, nor expects to ret   | ain, any such persons or entities.   |  |
| SECTION V CERTIF  | EICATIONS  | S  |  |  |
| A. COURT-ORDERED  | CHILD SUF  | PPORT COMPLIANCE   |  |  |
| Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.  |  |  |  |  |
| Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction? |  |  |  |  |
| [ ] Yes [X] No [ ] No person directly or indirectly owns 10% or more of the Disclosing Party.   |  |  |  |  |
| If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?   |  |  |  |  |
| []Yes [X]No   |  |  |  |  |
| B. FURTHER CERTIFICATIONS   |  |  |  |  |
| Procurement Services.] In<br>Party nor any Affiliated Experiormance of any public<br>inspector general, or integral.  | n the 5-year Entity [see deccentract, the grity compliant in the grity compliant in the com | he Matter is a contract being handle<br>period preceding the date of this EI<br>efinition in (5) below] has engaged,<br>he services of an integrity monitor, in<br>ance consultant (i.e., an individual of<br>esignated by a public agency to help | OS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing,                     |  |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

activity of specified agency vendors as well as help the vendors reform their business practices so they

can be considered for agency contracts in the future, or continue with a contract in progress).

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications. 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: None If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). None 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. None C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION 1. The Disclosing Party certifies that the Disclosing Party (check one)

- [ ] is [X] is not
  - a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary): |  |  |  |
|--|--|--|--|
|  | the word "None," or no response a med that the Disclosing Party certi  | appears on the lines above, it will be fied to the above statements.   |  |
| D. CERTIFICATI   | ON REGARDING FINANCIAL I   | NTEREST IN CITY BUSINESS   |  |
| Any words or term  | as defined in MCC Chapter 2-156 h  | ave the same meanings if used in this Part D.  |  |
| after reasonable in  |  | ne best of the Disclosing Party's knowledge<br>e of the City have a financial interest in his or<br>ntity in the Matter?   |  |
| [ ] Yes  | [X] No   |  |  |
|  | ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to  | to Items D(2) and D(3). If you checked "No" o Part E.  |  |
| official or employed<br>other person or ent<br>taxes or assessmen<br>"City Property Sale   | ee shall have a financial interest in lity in the purchase of any property ts, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |  |
| Does the Matter in   | volve a City Property Sale?  |  |  |
| [ ] Yes  | [X] No   |  |  |
|  |  | mes and business addresses of the City official fy the nature of the financial interest:   |  |
| Name   | Business Address   | Nature of Financial Interest   |  |
|  |  |  |  |
|  |  |  |  |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.   |
|---|
| $\frac{X}{A}$ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |
|   |

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing                 | ng Party the Ap               | oplicant?           |                             |                 |                |              |
|-----------------------------------|-------------------------------|---------------------|-----------------------------|-----------------|----------------|--------------|
| []Yes                             |                               | ] No                |                             |                 |                |              |
| If "Yes," answ                    | er the three que              | estions belov       | w:                          |                 |                |              |
|                                   | ions? (See 41 (               |                     | on file affirmativ<br>0-2.) | e action progra | ams pursuant t | o applicable |
| Compliance Pr<br>applicable filin | ograms, or the g requirements | Equal Emp           | ng Committee, the           | nity Commissi   |                |              |
| [] Yes                            | L                             | _ ] No              | [] Reports not re           | quirea          |                |              |
| 3. Have you p equal opportun      | ity clause?                   | ny previous<br>] No | s contracts or subo         | ontracts subje  | ct to the      |              |
| If you checked                    | "No" to question              | on (1) or (2)       | ) above, please pr          | ovide an expla  | nation:        |              |
|                                   | <u> </u>                      |                     |                             |                 |                |              |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Commission expires: 021012027

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Decennial Opportunity Zone Fund I GP LLC             |  |
|--|--|
| (Print or type exact legal name of Disclosing Party) |  |
| By: See O  |  |
| (Sign here)  |  |
| Joe Kreeger  |  |
| (Print or type name of person signing)               |  |
| General Counsel and Authorized Signatory             |  |
| (Print or type title of person signing)              |  |
| Signed and sworn to before me on (date)              | غ.   |
| at County, 711mas (state).                           |  |
| Notary Public  | MARTHA J SANCHEZ<br>Official Seal<br>Notary Public - State of Illinois<br>My Commission Expires Feb 10, 2027 |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to | 1                | , , ,                       | ip, and (4) the precise nature of such familial relationship.   |
|--|------------------|-----------------------------|---|
|  | which such perso | n is connected; (3) the nar | ne and title of the elected city official or department head to |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|   | . Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code cofflaw or problem landlord pursuant to MCC Section 2-92-416? |        |  |  |  |
|---|--|--------|--|--|--|
|   | [ ] Yes  | [X] No |  |  |  |
| the   | 11 -   | , , ,  | icly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section |  |  |
|   | [ ] Yes  | [ ] No | [X ] The Applicant is not publicly traded on any exchange.   |  |  |
| 3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply. |  |        |  |  |  |
|   |  |        |  |  |  |
|   |  |        |  |  |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| [ ] Yes  |
|--|
| [ ] No   |
| [X] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).    |
| If you checked "no" to the above, please explain.  |
|  |
|  |
|  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

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| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:   |  |  |  |  |
|--|--|--|--|--|
| Decennial Group, LLC   |  |  |  |  |
| Check ONE of the following three boxes:  |  |  |  |  |
| Indicate whether the Disclosing Party submitting this EDS is:  1. [ ] the Applicant OR  2. [X] a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:  2328 S Michigan Owner LLC |  |  |  |  |
| OR  3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1))  State the legal name of the entity in which the Disclosing Party holds a right of control:  |  |  |  |  |
| B. Business address of the Disclosing Party:  120 N. Racine Ave., Floor 2  Chicago, IL 60607   |  |  |  |  |
| C. Telephone: 312-315-2619 Fax: N/A Email: joe@decennialgroup.com  |  |  |  |  |
| D. Name of contact person: _Joe Kreeger  |  |  |  |  |
| E. Federal Employer Identification No. (if you have one):83-2045193  |  |  |  |  |
| F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):   |  |  |  |  |
| PD Application for proposed project located at 2328 South Michigan Ave,.   |  |  |  |  |
| G. Which City agency or department is requesting this EDS?   |  |  |  |  |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:   |  |  |  |  |
| Specification # and Contract #   |  |  |  |  |

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#### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

#### A. NATURE OF THE DISCLOSING PARTY

| <ol> <li>Indicate the nature of the Disclosing Particle.</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>  | rty:  [X] Limited liability company  [] Limited liability partnership  [] Joint venture  [] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes [] No  [] Other (please specify) |  |  |  |
|---|--|--|--|--|
| For legal entities, the state (or foreign coun Delaware   | try) of incorporation or organization, if applicable:  |  |  |  |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign ent   | of Illinois: Has the organization registered to do ity?  |  |  |  |
| [ ] Yes [X] No  | [ ] Organized in Illinois  |  |  |  |
| B. IF THE DISCLOSING PARTY IS A LEGA  | AL ENTITY:   |  |  |  |
| 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. |  |  |  |  |
| NOTE: Each legal entity listed below must sub   | omit an EDS on its own behalf.   |  |  |  |
| Name<br>David Pavlik  | Title<br>Manager   |  |  |  |
| Scott Goodman   | Manager  |  |  |  |
|   |  |  |  |  |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

**General Counsel** 

Joe Kreeger

| NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. |  |                                      |                  |                   |
|--|--|--------------------------------------|------------------|-------------------|
| Name<br>None   | Business Address   | Percentage Interest in the Applicant |                  |                   |
| -  |  |                                      |                  |                   |
| -  |  |                                      |                  |                   |
| SECTION III<br>OFFICIALS   | INCOME OR COMPENSATION   | TO, OR OWNERSHI                      | P BY, CITY       | ELECTE            |
|  | ng Party provided any income or compreceding the date of this EDS?   |                                      | ected official   | during the [X] No |
|  | ing Party reasonably expect to provious the 12-month period following  |                                      |                  | City<br>[X] No    |
| •  | of the above, please identify below the or compensation:   | he name(s) of such City e            | elected officia  | ıl(s) and         |
| inquiry, any City  | ected official or, to the best of the Di<br>elected official's spouse or domestic<br>the Municipal Code of Chicago ("M<br>[X] No | partner, have a financial            | l interest (as d |                   |
|  | dentify below the name(s) of such Circuits the financial interest(s).  | ty elected official(s) and/          | or spouse(s)/o   | domestic          |
|  |  |                                      |                  |                   |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

state "None."

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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| Name (indicate whether retained or anticipated to be retained)                      | Business<br>Address                                       | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)  | Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response. |
|---|---|---|--|
| (Add sheets if necessary)   |   |   |  |
|   |   | y has not retained, nor expects to ret  | ain, any such persons or entities.   |
| SECTION V CERTII  | FICATION  | S   |  |
| A. COURT-ORDERED  | CHILD SU  | PPORT COMPLIANCE  |  |
|   |   | antial owners of business entities the support obligations throughout the   |  |
|   | •   | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe  |  |
| []Yes [X]No []  | No person d   | irectly or indirectly owns 10% or m   | ore of the Disclosing Party.   |
| If "Yes," has the person easis the person in compliance                             |   | a court-approved agreement for payagreement?  | ment of all support owed and   |
| [ ] Yes [X] No  |   |   |  |
| B. FURTHER CERTIFIC   | CATIONS   |   |  |
| Procurement Services.] I<br>Party nor any Affiliated E<br>performance of any public | n the 5-year<br>Entity [ <u>see</u> do<br>c contract, the | the Matter is a contract being handled<br>period preceding the date of this Elefinition in (5) below] has engaged,<br>the services of an integrity monitor, | DS, neither the Disclosing in connection with the independent private sector                                     |

- inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.   |  |  |  |  |
|--|--|--|--|--|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  |  |  |  |  |
| None   |  |  |  |  |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusivel presumed that the Disclosing Party certified to the above statements.  |  |  |  |  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the Cit of Chicago (if none, indicate with "N/A" or "none").  |  |  |  |  |
| None   |  |  |  |  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointe official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |  |  |  |  |
| None   |  |  |  |  |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |  |  |  |  |
| 1. The Disclosing Party certifies that the Disclosing Party (check one)  [ ] is [X] is not   |  |  |  |  |

- a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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|  | 2-455(b)) is a predatory lender with ional pages if necessary):   | in the meaning of MCC Chapter 2-32, explain  |
|--|---|--|
|  |   |  |
|  | " the word "None," or no response a timed that the Disclosing Party certi   | appears on the lines above, it will be fied to the above statements.   |
| D. CERTIFICAT  | ION REGARDING FINANCIAL I   | NTEREST IN CITY BUSINESS   |
| Any words or term  | ns defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.  |
| after reasonable in  |   | ne best of the Disclosing Party's knowledge<br>e of the City have a financial interest in his or<br>ntity in the Matter?   |
| [ ] Yes  | [X ] No   |  |
|  | ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to   | to Items D(2) and D(3). If you checked "No" o Part E.  |
| official or employ<br>other person or en<br>taxes or assessmen<br>"City Property Sal | ee shall have a financial interest in l<br>tity in the purchase of any property<br>nts, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter in   | volve a City Property Sale?   |  |
| [ ] Yes  | [X] No  |  |
|  |   | mes and business addresses of the City officials fy the nature of the financial interest:  |
| Name   | Business Address  | Nature of Financial Interest   |
|  |   |  |
|  | g Party further certifies that no prohity official or employee.   | ibited financial interest in the Matter will be  |

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Part must disclose below or in an attachment to this EDS all information required by (2). Failure t | 0  |
|--|----|
| comply with these disclosure requirements may make any contract entered into with the City connection with the Matter voidable by the City.  | in |
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all record   |    |

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the |
|--|
| Disclosing Party has found records of investments or profits from slavery or slaveholder insurance |
| policies. The Disclosing Party verifies that the following constitutes full disclosure of all such |
| records, including the names of any and all slaves or slaveholders described in those records:     |
|  |
|  |
|  |
|  |

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

**NOTE**: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

| 1. List below the names of all persons or entities registered under the federal Lobbying       |
|--|
| Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosir |
| Party with respect to the Matter: (Add sheets if necessary):                                   |
|  |
|  |
|  |
|  |
|  |

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party th   | e Applicant?       |   |
|--|--------------------|---|
| []Yes  |                    |   |
| If "Yes," answer the three   | questions be       | elow:   |
| Have you developed a federal regulations? (See     [ ] Yes                       | 41 CFR Part        | eve on file affirmative action programs pursuant to applicable (60-2.)  |
| -  | the Equal Ennents? | rting Committee, the Director of the Office of Federal Contract inployment Opportunity Commission all reports due under the [] Reports not required |
| <ol> <li>Have you participated equal opportunity clause?</li> <li>Yes</li> </ol> | • •                | ous contracts or subcontracts subject to the  |
| If you checked "No" to qu  | uestion (1) or     | (2) above, please provide an explanation:   |
|  |                    |   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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#### **CERTIFICATION**

Commission expires: 02/10/2027

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Decennial Group, LLC                                 |  |
|--|--|
| (Print or type exact legal name of Disclosing Party) | -  |
| By: Te Cu  |  |
| (Sign here)  |  |
| Joe Kreeger  |  |
| (Print or type name of person signing)               |  |
| General Counsel and Authorized Signatory             |  |
| (Print or type title of person signing)              |  |
|  |  |
| Signed and sworn to before me on (date)0312          | 2/2024   |
| at <u>code</u> County, <u>Thros</u> (state           |  |
| hat sh   | MARTHA J SANCHEZ   |
| Notary Public  | Official Seal<br>Notary Public - State of Illinois<br>My Commission Expires Feb 10, 2027 |
|  |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| • • • |       | e and title of such person, (2) the name of the legal entity to  |
|-------|-------|--|
| _     | , , , | ne and title of the elected city official or department head to p, and (4) the precise nature of such familial relationship. |
|       |       |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|         |                     | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|---------|---------------------|---|
| [ ] Yes | [X] No              |   |
|         |                     | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section            |
| [ ] Yes | [ ] No              | [X ] The Applicant is not publicly traded on any exchange.  |
| • , ,   | scofflaw or problem | entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which |
|         |                     |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
|---|
| If you checked "no" to the above, please explain.                                       |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

Ver.2018-1

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:  |
|---|
| Rebel 2328 South Michigan QOF LLC   |
| Check ONE of the following three boxes:   |
| Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR  2. [X] a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:  2328 S Michigan Owner LLC |
| OR 3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:   |
| B. Business address of the Disclosing Party: 215 W. ONTARIO ST  CHICAGO, IL COES4   |
| C. Telephone: 773-491-3100 Fax: n/a Email: gkornota@rebelhespital   |
| D. Name of contact person: Eugene Kornota   |
| E. Federal Employer Identification No. (if you have one): 84-4558872  |
| F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):  PD Application for proposed project located at 2328 South Michigan Ave,.  |
|   |
| G. Which City agency or department is requesting this EDS?  |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:  |
| Specification # and Contract #  |

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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature of the Disclosing Part  [ ] Person  [ ] Publicly registered business corporation  [ ] Privately held business corporation  [ ] Sole proprietorship  [ ] General partnership  [ ] Limited partnership  [ ] Trust  | ty:  [X] Limited liability company  [] Limited liability partnership  [] Joint venture  [] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes [] No  [] Other (please specify) |  |  |
|---|---|--|--|
| 2. For legal entities, the state (or foreign count Delaware   | ry) of incorporation or organization, if applicable:  |  |  |
| 3. For legal entities not organized in the State of business in the State of Illinois as a foreign entities.  |   |  |  |
| [ ] Yes [X] No  | [ ] Organized in Illinois   |  |  |
| B. IF THE DISCLOSING PARTY IS A LEGA  | L ENTITY:   |  |  |
| 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. |   |  |  |
| NOTE: Each legal entity listed below must subs  | mit an EDS on its own behalf.   |  |  |
| Name Anthony Klok Evgene Kornota  | Manager/Director Manager/Director   |  |  |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. Percentage Interest in the Applicant Anthony Klok 15064 Primrose, wadsworth SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [ ] Yes X No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? X No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? []Yes X] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|--|---|
| DLA Piper 444 West   | Lake Street         | Chicago IL, Zoning Counsel estin   | nated fee \$50,000  |
|  |                     |  |   |
| (Add sheets if necessary)                                      |                     |  |   |
| [ ] Check here if the Disc                                     | closing Party       | y has not retained, nor expects to re                                      | tain, any such persons or entities.   |
| SECTION V CERTII   | ICATION             | S  |   |
| A. COURT-ORDERED   | CHILD SUI           | PPORT COMPLIANCE   |   |
|  |                     | antial owners of business entities the support obligations throughout the  |   |
| • •  |                     | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe |   |
| []Yes [X]No []]  | No person d         | irectly or indirectly owns 10% or m  | ore of the Disclosing Party.  |
| If "Yes," has the person entire is the person in compliant     |                     | agreement?   | ment of all support owed and  |

#### **B. FURTHER CERTIFICATIONS**

No [X

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

[ ] Yes

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| None   |   |
|--|---|
| If the letters "NA," the word "None," or no response appears on the lines above, it will presumed that the Disclosing Party certified to the above statements.   | be conclusively   |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the follow complete list of all current employees of the Disclosing Party who were, at any time du month period preceding the date of this EDS, an employee, or elected or appointed offi of Chicago (if none, indicate with "N/A" or "none").   | ring the 12-  |
| None   |   |
| complete list of all gifts that the Disclosing Party has given or caused to be given, at any the 12-month period preceding the execution date of this EDS, to an employee, or electroficial, of the City of Chicago. For purposes of this statement, a "gift" does not include made generally available to City employees or to the general public, or (ii) food or dring the course of official City business and having a retail value of less than \$25 per recipied political contribution otherwise duly reported as required by law (if none, indicate with "none"). As to any gift listed below, please also list the name of the City recipient. | ted or appointed<br>le: (i) anything<br>lk provided in<br>ent, or (iii) a |
| None   |   |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |   |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>  |   |
| a "financial institution" as defined in MCC Section 2-32-455(b).   |   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |   |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. It pledge that none of our affiliates is, and none of them will become, a predatory lender a MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an a  | as defined in   |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary): |   |  |  |
|--|---|--|--|
|  |   |  |  |
|  | ' the word "None," or no response a<br>med that the Disclosing Party certif   | appears on the lines above, it will be fied to the above statements.   |  |
| D. CERTIFICATI   | ON REGARDING FINANCIAL I  | NTEREST IN CITY BUSINESS   |  |
| Any words or term  | as defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.  |  |
| after reasonable in  |   | ne best of the Disclosing Party's knowledge<br>e of the City have a financial interest in his or<br>ntity in the Matter?   |  |
| [ ] Yes  | [X] No  |  |  |
|  | ecked "Yes" to Item D(1), proceed to<br>Items D(2) and D(3) and proceed to  | to Items D(2) and D(3). If you checked "No" Part E.  |  |
| official or employed<br>other person or ent<br>taxes or assessment<br>"City Property Sale  | te shall have a financial interest in he ity in the purchase of any property its, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |  |
| Does the Matter in   | volve a City Property Sale?   |  |  |
| [ ] Yes  | [X] No  |  |  |
|  | ,   | nes and business addresses of the City officials fy the nature of the financial interest:  |  |
| Name   | Business Address  | Nature of Financial Interest   |  |
|  |   |  |  |
|  | g Party further certifies that no prohity official or employee.   | ibited financial interest in the Matter will be  |  |

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.  X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profit from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |  |  |  |  |
|---|--|--|--|--|
|   |  |  |  |  |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |  |  |  |  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |  |  |  |  |
| A. CERTIFICATION REGARDING LOBBYING   |  |  |  |  |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |  |  |  |  |
|   |  |  |  |  |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on   |  |  |  |  |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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behalf of the Disclosing Party with respect to the Matter.)

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the   | Applicant?       |  |
|---|------------------|--|
| [ ] Yes   | [ ] No           |  |
| If "Yes," answer the three q  | uestions below   | •  |
| <ol> <li>Have you developed and federal regulations? (See 4</li> <li>Yes</li> </ol> | •                | on file affirmative action programs pursuant to applicable 2.)   |
|   | he Equal Emplo   | g Committee, the Director of the Office of Federal Contract<br>syment Opportunity Commission all reports due under the |
| [ ] Yes   | [ ] No [         | ] Reports not required   |
| equal opportunity clause?   | any previous     | contracts or subcontracts subject to the   |
| If you checked "No" to ques   | stion (1) or (2) | above, please provide an explanation:  |
|   |                  |  |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

## REBEL 2328 SOUTH MICHIGAN QOF, LLC

| (Print or type exact legal name of Disclosing Party)  |
|---|
| By:   |
| (Sign here)   |
| EVGENE KORNOTAL   |
| (Print or type name of person signing)  |
| MANAGER   |
| (Print or type title of person signing)   |
| Signed and sworn to before me on (date) $3 - 20 - 20 - 20 - 20 - 20 - 20 - 20 - 2$  |
| Notary Public  Notary Public  Official Seal DANA A TORRES Notary Public, State of Illinois Commission No. 895696 My Commission Expires July 3, 2027  Commission expires: 7-3-17 |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

MILL

| ¥ * 1 | •     | ne and title of such person, (2) the name of the legal entity to  |
|-------|-------|---|
| -     | , , , | ne and title of the elected city official or department head to ip, and (4) the precise nature of such familial relationship. |
|       |       |   |

F 137--

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|  | t to MCC Section 2-154-010, is the problem landlord pursuant to MCC | Applicant or any Owner identified as a building code Section 2-92-416?                                       |
|--|---|--|
| []Yes  | [X] No  |  |
| The same of the sa |   | led on any exchange, is any officer or director of law or problem landlord pursuant to MCC Section           |
| []Yes  | []No [X]Th  | e Applicant is not publicly traded on any exchange.  |
| as a buildin   |   | ow the name of each person or legal entity identified and the address of each building or buildings to which |
|  |   |  |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| [ ] Yes  |
|--|
| [ ] No   |
| [X] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).    |
| If you checked "no" to the above, please explain.  |
|  |
|  |
|  |

#### Residential-Business Planned Development No. \_\_\_\_\_ Plan of Development Statements.

- 1. The area delineated herein as Residential-Business Planned Development Number \_\_\_\_\_, ("Planned Development") consists of approximately 30,415 square feet of property which is depicted on the attached Planned Development Boundary and Property Line Map ("Property"). 2328 S Michigan Owner LLC is the "Applicant" for this planned development and owner of the Property.
- 2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance (the "Zoning Ordinance"), the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400 of the Zoning Ordinance.
- 3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Chicago Department of Transportation ("CDOT") on behalf of the Applicant or its successors, assigns or grantees.

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the Plans.

Ingress or egress shall be pursuant to the Plans and may be subject to the review and approval of the Department of Planning and Development ("DPD") and CDOT. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of CDOT.

Pursuant to a negotiated and executed Perimeter Restoration Agreement ("Agreement") by and between the Department of Transportation's Division of Infrastructure Management and the Applicant, the Applicant shall provide improvements and restoration of all public way adjacent to the property, which may include, but not be limited to, the following as shall be reviewed and determined by the *Department of Transportation's* Division of Infrastructure Management:

- Full width of streets
- Full width of alleys
- Curb and gutter
- Pavement markings
- Sidewalks
- ADA crosswalk ramps
- Parkway & landscaping

The Perimeter Restoration Agreement must be executed prior to any *Department of Transportation* and Planned Development Part II review permitting. The Agreement shall reflect that all work must comply

Applicant:

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with current Rules and Regulations and must be designed and constructed in accordance with the *Department of Transportation's* Construction Standards for work in the Public Way and in compliance with the Municipal Code of Chicago Chapter 10-20. Design of said improvements should follow the *Department of Transportation's* Rules and Regulations for Construction in the Public Way as well as The Street and Site Plan Design Guidelines. Any variation in scope or design of public way improvements and restoration must be approved by the *Department of Transportation*.

- 4. This Plan of Development consists of these \_\_\_\_ Statements; a Bulk Regulations and Data Table and the following exhibits and plans attached hereto prepared by ESA Design Architects and dated \_\_\_\_\_, 2024 (the "Plans"): an Existing Zoning Map; a Land Use Map; a Planned Development Boundary and Property Line Map; a Street Scape Site Plan; and Building Elevations (North, South, East and West). In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereof, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.
- 5. The following uses are permitted in the area delineated herein as a Residential-Business Planned Development No \_\_\_\_: Dwelling Units located above the ground floor; Office; Animal Services (excluding overnight boarding and kennels); Business Equipment Sales and Service; Business Support Services (excluding Day Labor Employment Agency); Communication Service Establishments; Eating and Drinking Establishments; Financial Services (excluding payday loan and pawn shops); Food and Beverage Retail Sales; Medical Service; Personal Service; General Retail Sales; Participant Sports and Recreation;; Co-located Wireless Communication Facilities, accessory and non-accessory parking; and incidental and accessory uses.
- 6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of DPD. Off-Premise signs are prohibited within the boundary of the Planned Development.
- 7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
- 8. The maximum permitted Floor Area Ratio ("FAR") for the Property shall be in accordance with the attached Bulk Regulations and Data Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations and Data Table has been determined using a Net Site Area of 30,450 square feet and a base FAR of 5.0.

The Applicant acknowledges that the project has received a bonus FAR of 3.1, pursuant to Sec. 17-4-1000 of the Zoning Ordinance and an additional .31 FAR of floor area pursuant to Sec. 17-10-0102-B(4). With this bonus FAR, the total FAR for the Planned Development is 8.41.

In exchange for the bonus FAR, the Applicant is required to make a corresponding payment, pursuant to Sections 17-4-1003-B & C, prior to the issuance of the first building permit for any building in the Planned Development; provided, however, if the Planned Development is constructed in phases, the bonus payment may be paid on a pro rata basis as the first building permit for each subsequent new building or phase of

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construction is issued. The bonus payment will be recalculated at the time of payment (including partial payments for phased developments) and may be adjusted based on changes in median land values in accordance with Section 17-4-1003-C.3

The bonus payment will be split between three separate funds, as follows: 80% to the Neighborhoods Opportunity Fund, 10% to the Citywide Adopt-a-Landmark Fund and 10% to the Local Impact Fund. In lieu of paying the City directly, the Department may: (a) direct developers to deposit a portion of the funds with a sister agency to finance specific local improvement projects; (b) direct developers to deposit a portion of the funds with a landmark property owner to finance specific landmark restoration projects; or, (c) approve proposals for in-kind improvements to satisfy the Local Impact portion of the payment.

9. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by DPD. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.

The Plans are hereby approved in their entirety and no further approvals shall be required under this Planned Development or the Zoning Ordinance for the improvements to be undertaken in accordance with the Plans, other than Part II Approval (per Section 17-13-0610 of the Zoning Ordinance). Further, the Applicant shall be permitted to construct a surface non-accessory parking lot on the Property prior to commencement of construction of the project shown in the Plans.

- 10. The Site and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines, including Section 17-13-0800. Final landscape plan review and approval will be by DPD. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.
- 11. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Fleet and Facility Management and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.
- 12. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors.
- 13. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.
- 14. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. The Applicant shall obtain the number of points necessary to meet the requirements of the Chicago Sustainable Development Policy, in effect at the time the Part II review

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process is initiated for each improvement that is subject to the aforementioned Policy and must provide documentation verifying compliance.

- 15. The Applicant acknowledges that it is the policy of the City to maximize opportunities for Minority and Women-owned Business Enterprises ("M/WBEs") and city residents to compete for contracts and jobs on construction projects approved through the planned development process. To assist the city in promoting and tracking such M/WBE and city resident participation, an applicant for planned development approval shall provide information at three points in the city approval process. First, the applicant must submit to DPD, as part of its application for planned development approval, an M/WBE Participation Proposal. The M/WBE Participation Proposal must identify the applicant's goals for participation of certified M/WBE firms in the design, engineering and construction of the project, and of city residents in the construction work. The city encourages goals of (i) 26% MBE and 6% WBE participation (measured against the total construction budget for the project or any phase thereof), and (ii) 50% city resident hiring (measured against the total construction work hours for the project or any phase thereof). The M/WBE Participation Proposal must include a description of the Applicant's proposed outreach plan designed to inform M/WBEs and city residents of job and contracting opportunities. Second, at the time of the Applicant's submission for Part II permit review for the project or any phase thereof, the Applicant must submit to DPD (a) updates (if any) to the Applicant's preliminary outreach plan, (b) a description of the Applicant's outreach efforts and evidence of such outreach, including, without limitation, copies of certified letters to M/WBE contractor associations and the ward office of the alderman in which the project is located and receipts thereof; (c) responses to the Applicant's outreach efforts, and (d) updates (if any) to the applicant's M/WBE and city resident participation goals. Third, prior to issuance of a Certificate of Occupancy for the project or any phase thereof, the Applicant must provide DPD with the actual level of M/WBE and city resident participation in the project or any phase thereof, and evidence of such participation. In addition to the forgoing, DPD may request such additional information as the department determines may be necessary or useful in evaluating the extent to which M/WBEs and city residents are informed of and utilized in planned development projects. All such information will be provided in a form acceptable to the Zoning Administrator. DPD will report the data it collects regarding projected and actual employment of M/WBEs and city residents in planned development projects twice yearly to the Chicago Plan Commission and annually to the Chicago City Council and the Mayor.
- 16. The Applicant acknowledges and agrees that the rezoning of the Property from the DS-5 Downtown Service District to the DX-5 Downtown Mixed-Use District then to this residential-business planned development, is an "entitlement" that triggers the requirements of Section 2-44-085 of the Municipal Code of Chicago (the "ARO"). The PD is located in a "downtown district" within the meaning of the ARO and permits the construction of 256 dwelling units. The Applicant intends to construct a 256-unit rental building (the "Project").

Developers of rental projects in downtown districts with 30 or more units must provide between 10% and 20% of the units in the residential development as affordable units, depending on the average depth of affordability provided, as described in subsection (F)(2) of the ARO. Regardless of the applicable percentage of affordable units in the rental project, developers must construct at least 25% of the affordable units on-site and another 25% on-site or off-site (collectively, the "Required Units"), and may satisfy the balance of their affordable housing obligation through: (a) the establishment of additional on-site or off-site affordable units; (b) payment of a fee in lieu of the establishment of on-site or off-site affordable units; or (c) any combination thereof. All on-site affordable units must be accessible dwelling units, as required under subsection (W)(10) of the ARO, and developers must give preference in leasing accessible units to

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people with disabilities, as specified in the ARO rules. All off-site affordable units must have at least two bedrooms and must be located in a downtown district, inclusionary housing area, or community preservation area. Whether on-site or off-site, developers must give preference in leasing affordable units of two bedrooms or more to multi-person households, as specified in the ARO rules. If a residential project is located in a transit-served location, off-site units must be located in a substantially comparable transit-served location.

The Applicant has elected the 20% option as set forth in the chart in subsection (F)(2) of the ARO. Additionally, the Applicant has achieved additional floor area pursuant to Sec. 17-10-0102-B(4) of the Municipal Code of Chicago (the "Parking Bonus"), which allows for affordable housing units to replace parking. As a result, the Applicant's affordable housing obligation is 64 affordable units (20% of 240 pursuant to the ARO and 16 additional units pursuant to the Parking Bonus) and half of those affordable units are Required Units. Pursuant to subsection (T) of the ARO, the Applicant must provide an additional unit on-site or off-site to satisfy the fractional obligation. The Applicant has agreed to satisfy its affordable housing obligation by providing all 64 affordable units in the rental building in the PD, as set forth in the Affordable Housing Profile (AHP) attached hereto. The Applicant agrees that the affordable rental units must be affordable to households with a range of incomes averaging 60% of the Chicago Primary Metropolitan Statistical Area Median Income (AMI), as updated annually, provided that (x) the maximum income level for any affordable unit may not exceed 80% of the AMI, (y) at least one-third (or 21 units) must be affordable to households at or below 50% of the AMI, of which one-sixth (or 4 of the 21 units) must be affordable to households at or below 40% of the AMI, and (z) all income levels must be multiples of 10% of the AMI.

If the Applicant requests any material change to its method of compliance with the ARO, such as locating affordable units off-site instead of on-site or changing the target affordability level after the passage of this PD, DOH may adjust the AHP as requested, in accordance with the ARO, without amending the PD, provided however, the Applicant must update and resubmit the revised AHP to DOH for review and approval and, at DOH's request, provide an informational presentation to Plan Commission on such change. Prior to the issuance of any building permits for any residential building in the PD, including, without limitation, excavation or foundation permits, the Applicant must execute and record an Inclusionary Housing Agreement ("IHA") in accordance with subsection (N) of the ARO. The terms of the IHA and any amendments thereto are incorporated herein by this reference. The Applicant acknowledges and agrees that the IHA will be recorded against the PD and will constitute a lien against such property. The Commissioner of DOH may enforce remedies for any breach of this Statement 16, including any breach of any IHA, and enter into settlement agreements with respect to any such breach, subject to the approval of the Corporation Counsel, without amending the PD.

This statement does not include all ARO requirements and options. It is intended to provide an overview of the application of the ARO to this PD. In the event of any conflict between this statement and the terms and conditions of the ARO, the ARO shall govern.

17. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Should this Planned Development ordinance lapse, the Zoning Administrator of DPD shall initiate a Zoning Map Amendment to rezone the property to the DX-5 Downtown Mixed-Use District.

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#### RESIDENTIAL-BUSINESS PLANNED DEVELOPMENT BULK REGULATIONS AND DATA TABLE

| Gross Site Area ( | (sf): | 38,889 |
|-------------------|-------|--------|
|                   |       |        |

Area of Public Rights-of-Way (sf): 8,439

Net Site Area (sf): 30,450

Maximum Floor Area Ratio (FAR): 8.41

Base FAR: 5.0

Neighborhoods Opportunity Bonus FAR: 3.1

FAR per Section 17-10-0102-B(4): 0.31

Maximum Number of Dwelling Units: 256\*

Minimum Off-Street Parking Spaces: 10

Minimum Bicycle Parking Spaces: 256

Minimum Off-Street Loading: (2) 10x25

Maximum Building Height: 170'-0"

Minimum Setbacks: In conformance with the Plans

Applicant:

2328 S Michigan Owner LLC

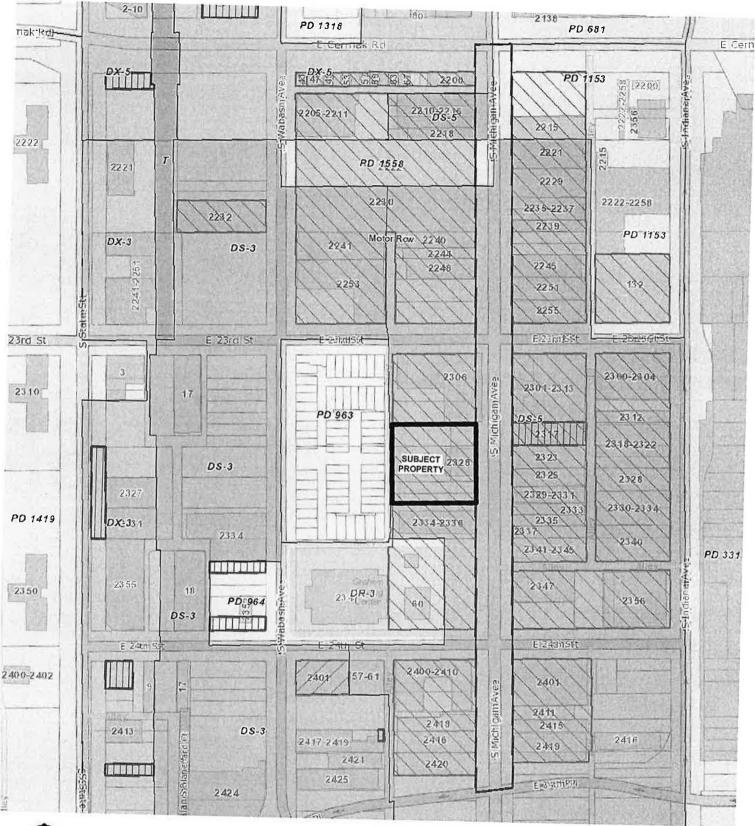
Address:

2328 South Michigan

Introduction:

April 17, 2024

<sup>\*</sup> Includes 16 units permitted pursuant to Section 17-10-0102-B(4).

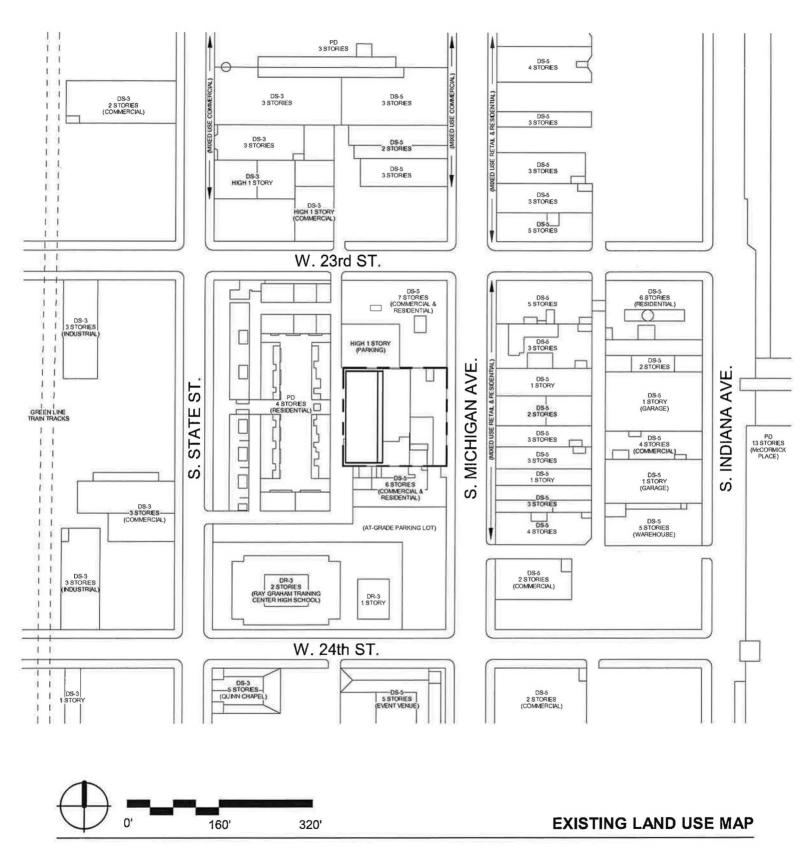




# **EXISTING ZONING MAP**

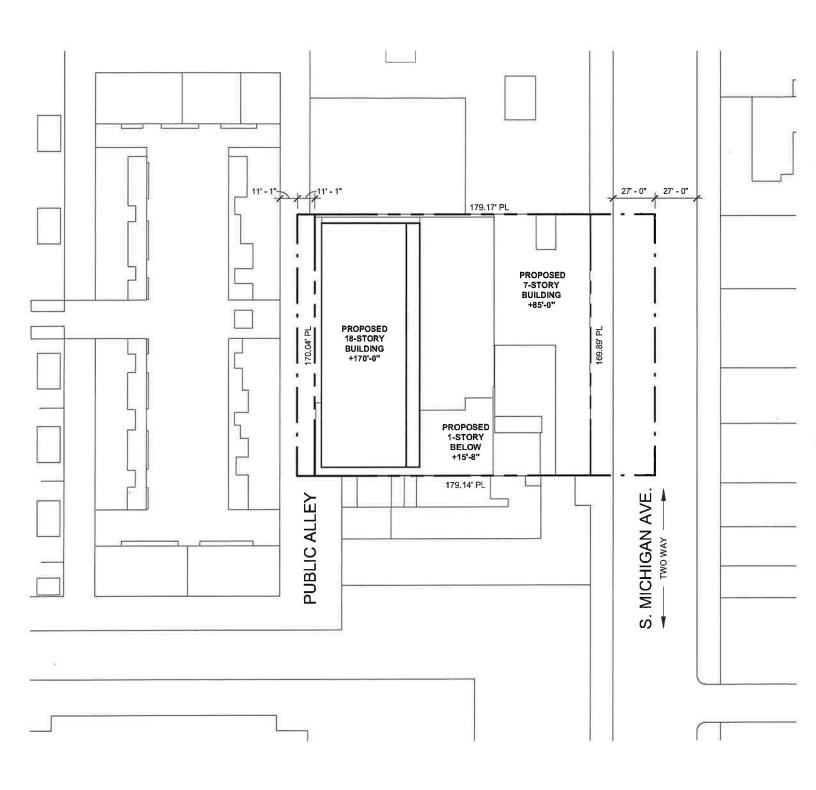
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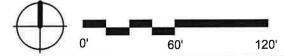
Applicant: Address: Introduction: Plan Commission:



### **\ ECKENHOFF SAUNDERS**

Applicant: Address: Introduction: Plan Commission:

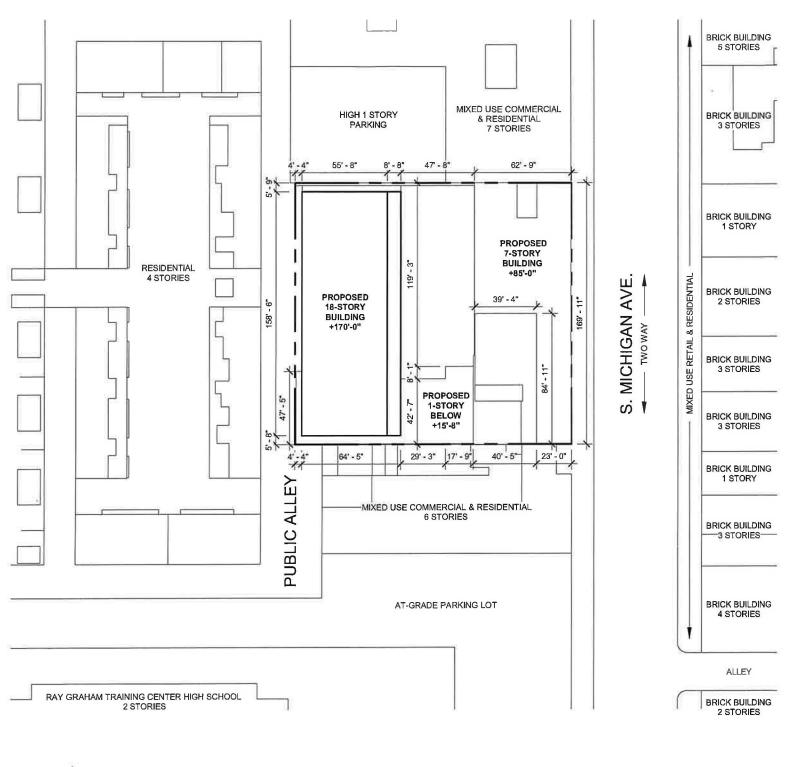




### PD BOUNDARY & PROPERTY LINE

**\ ECKENHOFF SAUNDERS** 

Applicant: Address: Introduction: Plan Commission:

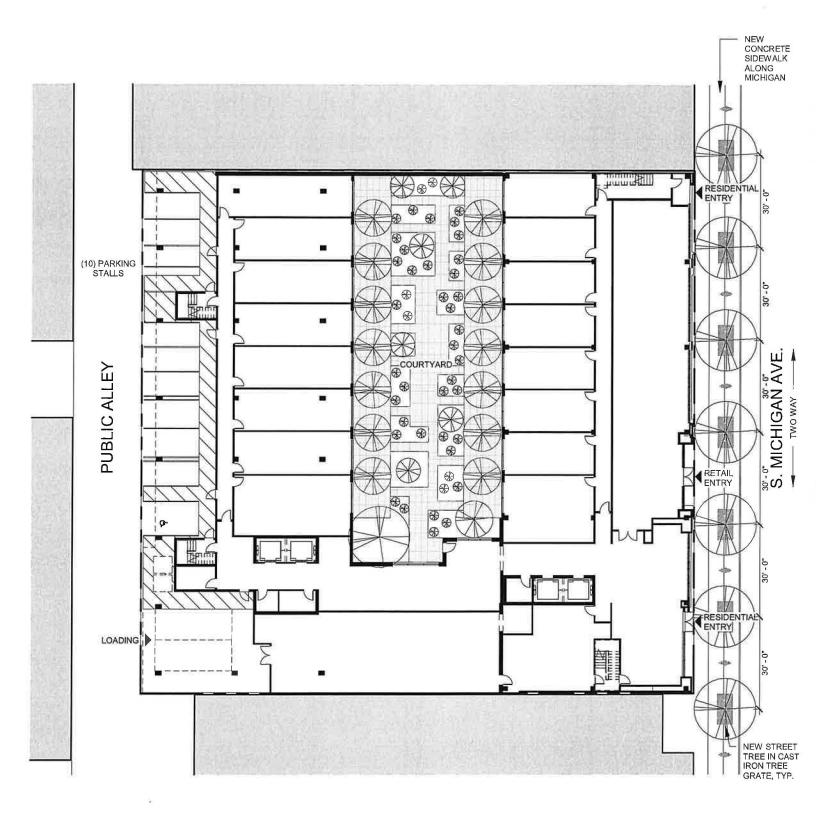




SITE PLAN

**\ ECKENHOFF SAUNDERS** 

Applicant:
Address:
Introduction:
Plan Commission:

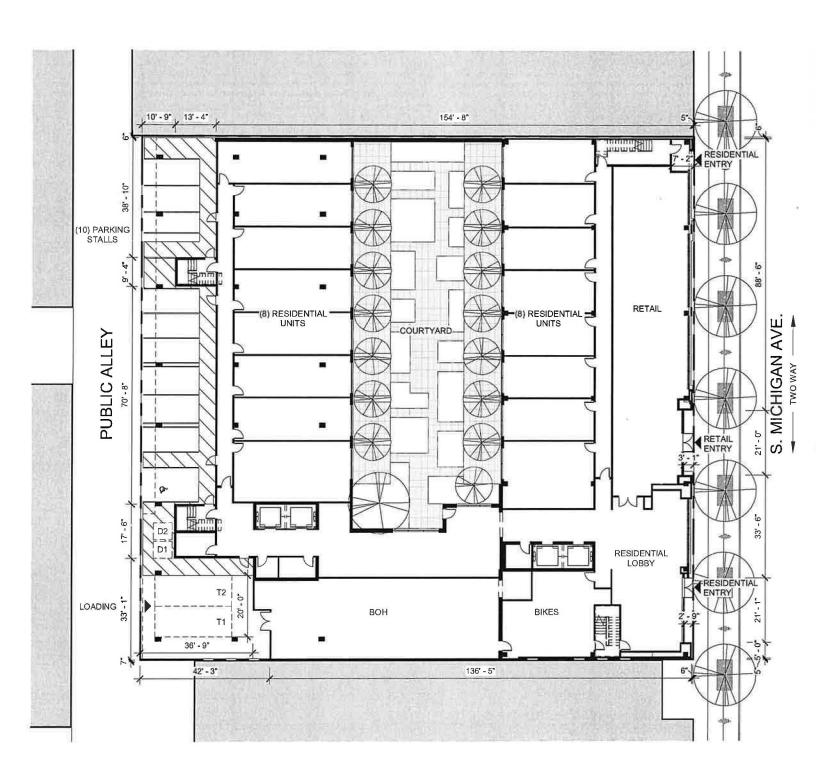




#### LANDSCAPE PLAN

**\ ECKENHOFF SAUNDERS** 

Applicant:
Address:
Introduction:
Plan Commission:

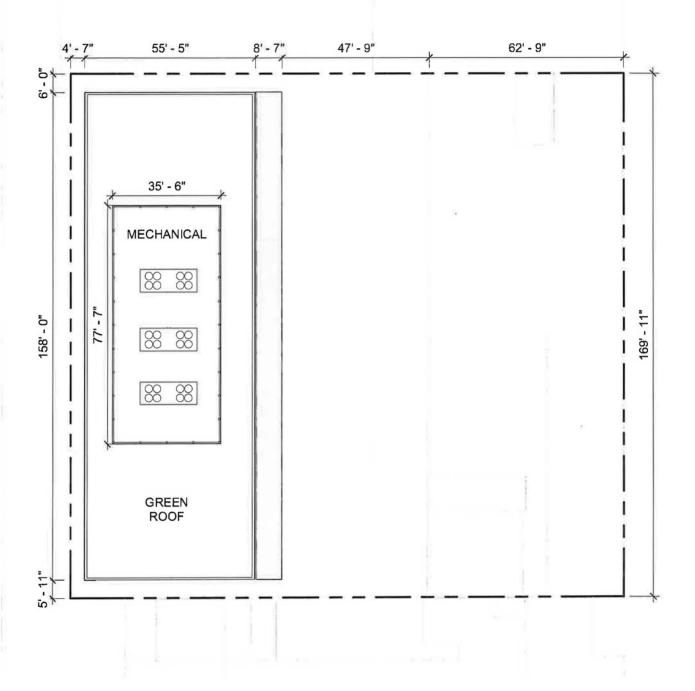




### **GROUND FLOOR PLAN**

**\ ECKENHOFF SAUNDERS** 

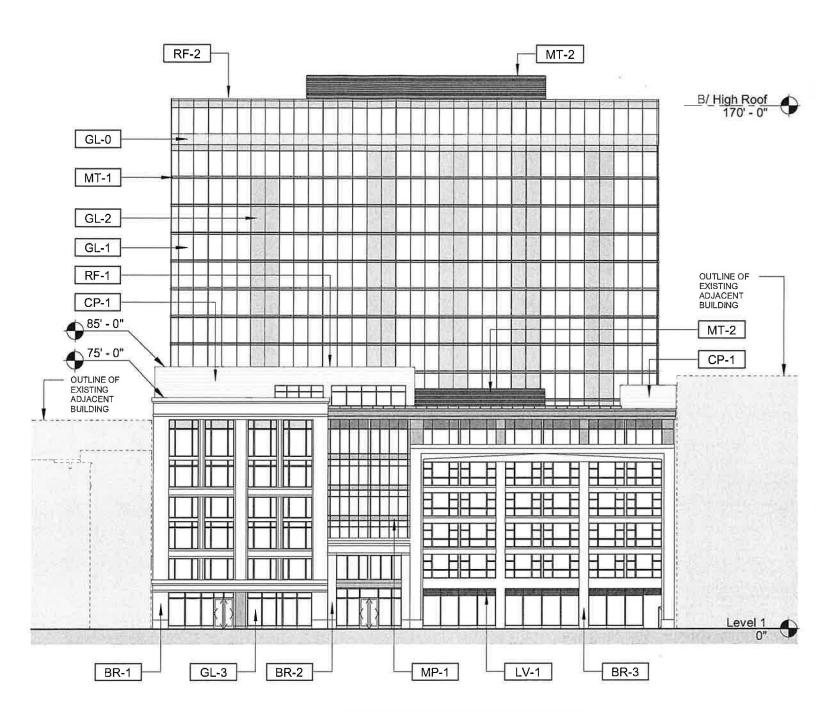
Applicant: Address: Introduction: Plan Commission:





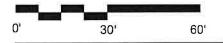
**ROOF PLAN** 

Applicant: Address: Introduction: Plan Commission:



| w/-   |                       |
|-------|-----------------------|
| BR-1  | BRICK VENEER TYPE 1   |
| BR-2  | BRICK VENEER TYPE 2   |
| BR-3  | BRICK VENEER TYPE 3   |
| CMU-1 | CONCRETE MASONRY UNIT |
| CN-1  | EXPOSED CONCRETE      |
| CP-1  | CEMENT PANEL          |
| GL-0  | GLASS RAILING SYSTEM  |
| GL-1  | GLASS CURTAIN WALL    |
|       |                       |

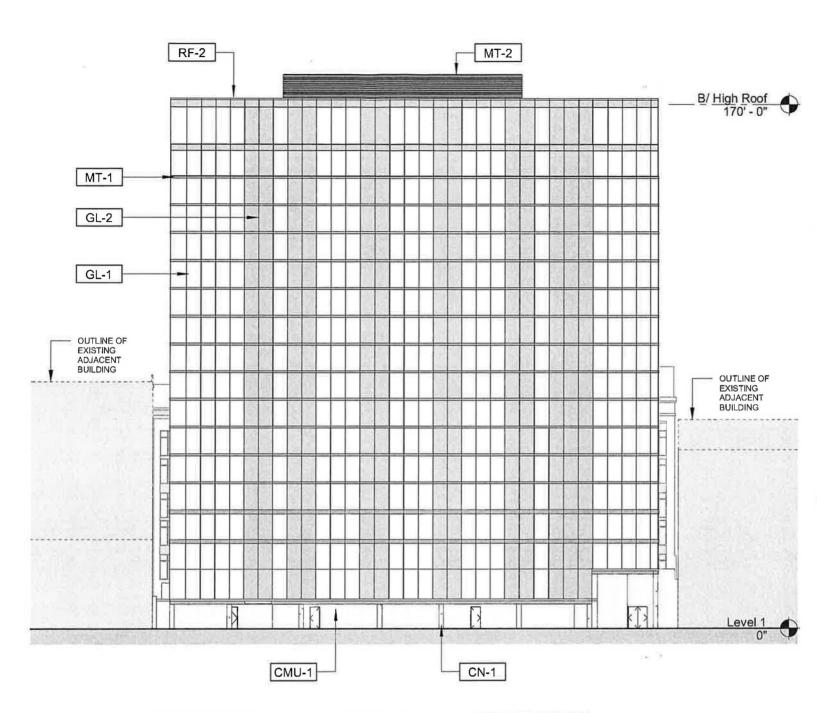
| [a] a | TODANIDDEL OLAGO CUDTAINIMALL |
|-------|-------------------------------|
| GL-2  | SPANDREL GLASS CURTAIN WALL   |
| GL-3  | STOREFRONT GLASS              |
| LV-1  | METAL LOUVER                  |
| MP-1  | METAL PANEL TYPE 1            |
| MT-1  | PAINTED METAL                 |
| MT-2  | METAL SCREEN WALL             |
| RF-1  | FLAT ROOF                     |
| RF-2  | GREEN ROOF                    |



### **EAST ELEVATION**

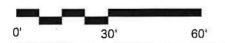
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Applicant:
Address:
Introduction:
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| BR-1  | BRICK VENEER TYPE 1   |
|-------|-----------------------|
| BR-2  | BRICK VENEER TYPE 2   |
| BR-3  | BRICK VENEER TYPE 3   |
| CMU-1 | CONCRETE MASONRY UNIT |
| CN-1  | EXPOSED CONCRETE      |
| CP-1  | CEMENT PANEL          |
| GL-0  | GLASS RAILING SYSTEM  |
| GL-1  | GLASS CURTAIN WALL    |
|       |                       |

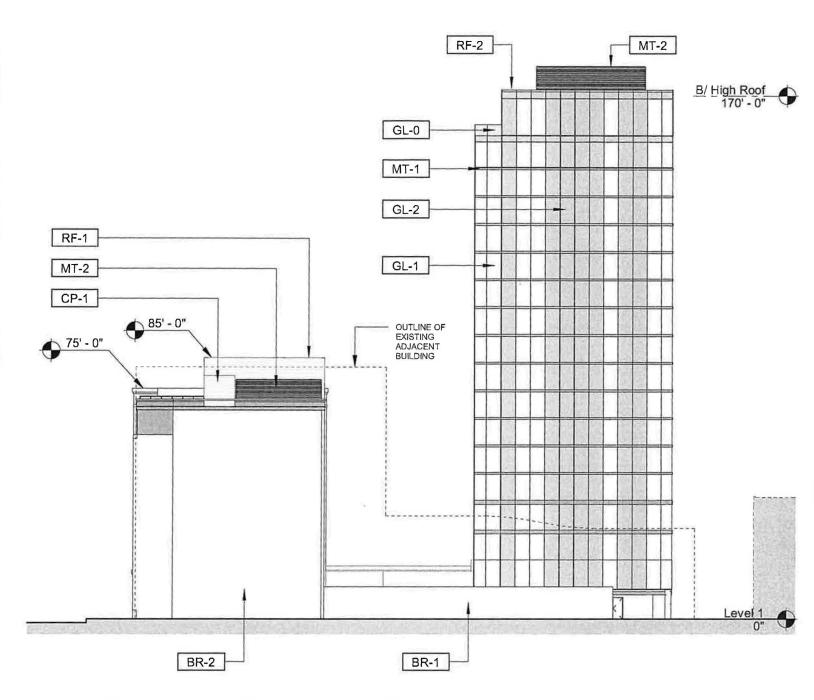
| SPANDREL GLASS CURTAIN WALL |
|-----------------------------|
| STOREFRONT GLASS            |
| METAL LOUVER                |
| METAL PANEL TYPE 1          |
| PAINTED METAL               |
| METAL SCREEN WALL           |
| FLAT ROOF                   |
| GREEN ROOF                  |
|                             |



### **WEST ELEVATION**

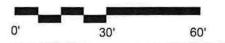
**\ ECKENHOFF SAUNDERS** 

Applicant:
Address:
Introduction:
Plan Commission:



| BR-1  | BRICK VENEER TYPE 1   |
|-------|-----------------------|
| BR-2  | BRICK VENEER TYPE 2   |
| BR-3  | BRICK VENEER TYPE 3   |
| CMU-1 | CONCRETE MASONRY UNIT |
| CN-1  | EXPOSED CONCRETE      |
| CP-1  | CEMENT PANEL          |
| GL-0  | GLASS RAILING SYSTEM  |
| GL-1  | GLASS CURTAIN WALL    |

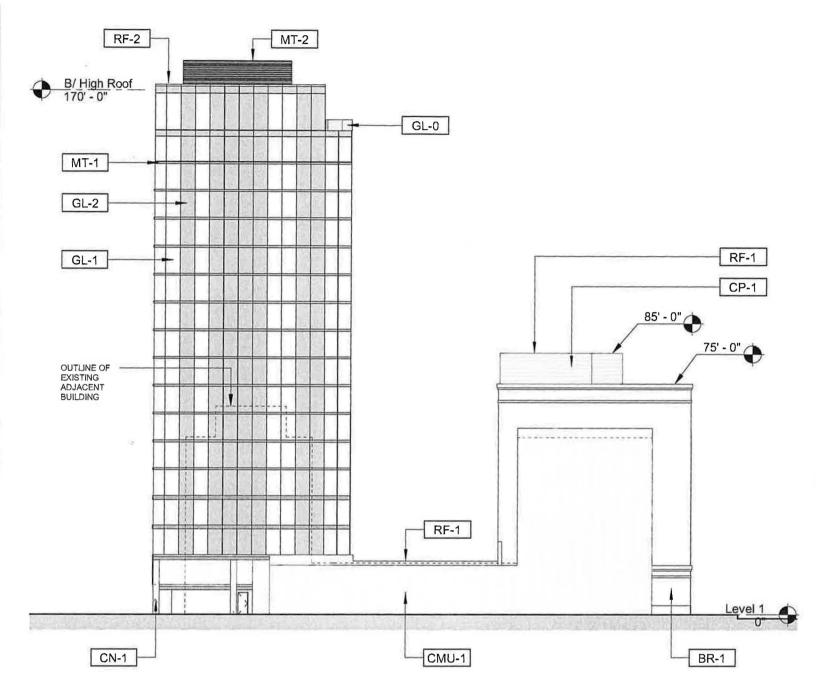
| GL-2 | SPANDREL GLASS CURTAIN WALL |
|------|-----------------------------|
| GL-3 | STOREFRONT GLASS            |
| LV-1 | METAL LOUVER                |
| MP-1 | METAL PANEL TYPE 1          |
| MT-1 | PAINTED METAL               |
| MT-2 | METAL SCREEN WALL           |
| RF-1 | FLAT ROOF                   |
| RF-2 | GREEN ROOF                  |



#### **NORTH ELEVATION**

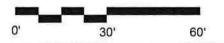
## **\ ECKENHOFF SAUNDERS**

Applicant: Address: Introduction: Plan Commission:



| BR-1  | BRICK VENEER TYPE 1   |  |
|-------|-----------------------|--|
| BR-2  | BRICK VENEER TYPE 2   |  |
| BR-3  | BRICK VENEER TYPE 3   |  |
| CMU-1 | CONCRETE MASONRY UNIT |  |
| CN-1  | EXPOSED CONCRETE      |  |
| CP-1  | CEMENT PANEL          |  |
| GL-0  | GLASS RAILING SYSTEM  |  |
| GL-1  | GLASS CURTAIN WALL    |  |

| GL-2 | SPANDREL GLASS CURTAIN WALL |
|------|-----------------------------|
| GL-3 | STOREFRONT GLASS            |
| LV-1 | METAL LOUVER                |
| MP-1 | METAL PANEL TYPE 1          |
| MT-1 | PAINTED METAL               |
| MT-2 | METAL SCREEN WALL           |
| RF-1 | FLAT ROOF                   |
| RF-2 | GREEN ROOF                  |



#### **SOUTH ELEVATION**

# **\ ECKENHOFF SAUNDERS**

Applicant: Address: Introduction: Plan Commission: