# Agreement for Special Service Area \# 34 

between

# the CITY OF CHICAGO 

and

## Uptown United

Effective January 1, 2024 through December 31, 2024

## Brandon Johnson <br> Mayor

- This Agreement consists of the following components:

2024 STANDARD TERMS AND CONDITIONS, including Exhibits 3 through 12, are found at the following hyperlink, and incorporated by reference herein:
https://www.chicago.gov/cityinfo/law/termsandconditions/2024SPAStandardTerms.pdf

- AGREEMENT SPECIFIC INFORMATION, reflecting the specific information for this Agreement that is inserted into the Standard Terms and Conditions
- SIGNATURE PAGE
- EXHIBIT 1 Scope of Services and Budget
- EXHIBIT 2 Economic Disclosure Statement and Affidavit


## AGREEMENT-SPECIFIC INFORMATION

Contractor agrees that, for purposes of this Agreement, the following information will be inserted into the 2024 Standard Terms for Special Service Area Agreements:

Date Agreement entered into (see Agreement Preamble): January 1, 2024
Name of Contractor (see Agreement Preamble): Uptown United
Contractor's State and Form of Organization or Incorporation (see Agreement Preamble): Illinois-Not-for-Profit-Corporation

Special Service Area Number (see Agreement Recitals): 34
Service Tax not to exceed the following percentage of the equalized assessed value of all property within the Area (see Agreement Recitals): $0.250 \%$

City Council authorization date (see Agreement Recitals): November 15, 2023
Establishment Ordinance date (see Agreement Article 2): December 7, 2005, as amended on December 10, 2014
Surplus TIF Funds fund number (see Agreement Article 2): $\underline{0 A 09}$
Service Tax Funds amount (see Agreement Section 5.01(a)): \$722,253
Surplus Funds amount (see Agreement Section 5.01(b)): \$40,000

Surplus TIF Funds amount (see Agreement Section 5.01(c)): $\$ 0$
Late Collections amount (see Agreement Section 5.01(d)): \$0
Maximum compensation amount (see Agreement Section 5.01): $\$ 762,253$
Maximum amount (see Agreement Section 5.02): \$762,253
Bank name and address (see Agreement Section 5.03):_on file with DPD and Comptroller
Wire transfer and Account numbers (see Agreement Section 5.03): on file with DPD and Comptroller

Fund number (see Agreement Section 5.05): 0A09

Special conditions (see Agreement Section 8.01):
Special Service Area Commission's address (see Agreement Article 9): 4619 N. Broadway, Chicago, IL 60640

Contractor's address (see Agreement Article 9): 4619 N. Broadway, Chicago, IL 60640
Attention: Sarah Wilson

IN WITNESS WHEREOF, the City and the Contractor have executed this Agreement on the date first set forth above, at Chicago, Illinois.

CITY OF CHICAGO


Recommended by:


## CONTRACTOR



Title: Executive Director

## Exhibit 1 (A)

## SCOPE OF SERVICES

The Scope of Services for each Special Service Area (SSA) is broadly defined by the funded categories listed in the annual Budget Summary page. If during the contract year, an SSA Commission recommends funding a line item within a previously unfunded category, a budget amendment ordinance must be introduced and approved by City Council. This action is required in order to expand the Scope of Services.

## REOUIRED DUTIES OF THE SERVICE PROVIDER:

Website: The Service Provider must maintain a webpage dedicated to the SSA which is easily identified with a link labeled "SSA" on the top or side banner on the home page of the Service Provider's website.
The SSA webpage must contain the following information:

- Listing of Commission meetings for the year
- Meeting Notices and Agendas posted at least 48 hours prior to meetings
- Approved Meeting Minutes
- Current Service Provider Agreement
- Annual Audit (most-recent)Request for Proposals (RFPs)
- SSA Map
- Description of Services and Programs
- Other items as directed by the Commission

Reports: The Service Provider is required to complete and submit Quarterly Reports to the Department of Planning and Development (DPD) within 30 days of the end of each Quarter. These reports should be presented to and approved by the Commission prior to submission to DPD.

Annual Audit: The Service Provider is required to contract with an independent auditing firm to prepare audited financial statements of the preceding year, presented in the Government Model. The audit must be approved by the Commission and submitted to DPD on or before May 1st each year.

Administration: The Service Provider shall adhere to policies and procedures outlined in the SSA Program Guide and submission deadlines posted in the annual SSA Calendar document. The Service Provider is responsible for ensuring services and programs listed in the SSA's annual workplan and budget document are administered in a timely and accurate manner, including subcontracts with vendors. Any changes to line items must be approved by the Commission, and may require additional City Council authorization.

Communication: It is important that the Service Provider facilitate communication among its board of directors, the SSA Commission, all affected aldermen, SSA stakeholders, DPD, and the general public. All Commission meetings are open to the public and governed by the guidelines of the Open Meetings Act. The Service Provider shall brief all affected aldermen of SSA activities and proposed budgets.

Recordkeeping: The Service Provider shall maintain accurate and complete records of SSA activities, and shall provide documents in a timely manner, as requested by DPD. These records are subject to Freedom of Information Act (FOIA) requests which must be directed to DPD.

Exbibit 1(B)
Budget
I have an attachment


## EXHIBIT 2

## Economic Disclosure Statement and Affidavit

I have an attachment


## Exhibit A

 Budget
## Special Service Area \# 34

| SSA Name: | Uptown |
| :---: | :--- |

2024 BUDGET SUMMARY

|  |  | Budget and Services Period: January 1, 2024 through December 31, 2024 |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 2023 Levy |  |  |  |  |  |
| CATEGORY <br> (Funded Categories Comprise Scope of Services) |  | Collectable Levy | Estimated Loss Collection | Carryover Funds | TIF Rebate Fund \#0A09 | $\begin{aligned} & \text { Estimated } \\ & \text { Late } \\ & \text { Collections } \\ & \text { and Interest } \\ & \hline \end{aligned}$ | Total All Sources |
| 1.00 Customer Attraction |  | \$86,182 | \$0 | \$0 | \$0 | \$0 | \$86,182 |
| 2.00 Public Way Aesthetics |  | \$382,400 | \$0 | \$40,000 | \$0 | \$0 | \$422,400 |
| 3.00 Sustainability and Public Places |  | \$1,000 | \$0 | \$0 | \$0 | \$0 | \$1,000 |
| 4.00 Economic/ Business Development |  | \$21,000 | \$0 | \$0 | \$0 | \$0 | \$21,000 |
| 5.00 Public Health and Safety Programs |  | \$15,000 | \$0 | \$0 | \$0 | \$0 | \$15,000 |
| 6.00 SSA Management |  | \$31,264 | \$0 | \$0 | \$0 | \$0 | \$31,264 |
| 7.00 Personnel |  | \$185,407 | \$0 |  | \$0 | \$0 | \$185,407 |
|  | Sub-total | \$722,253 | \$0 |  |  |  |  |
| GRAND TOTALS | Levy Total | \$722, | 253 | \$40,000 | \$0 | \$0 | \$762,253 |


| LEVY ANALYSIS |  |
| :--- | ---: |
| Estimated 2023 EAV: | $\mathbf{\$ 2 8 5 , 6 3 2 , 4 3 7}$ |
| Authorized Tax Rate Cap: | $\mathbf{0 . 2 5 0 \%}$ |
| Maximum Potential Levy <br> limited by Rate Cap: | $\mathbf{\$ 7 1 4 , 0 8 1}$ |
| Requested 2023 Levy Amount: | $\mathbf{\$ 7 2 2 , 2 5 3}$ |
| Estimated Tax Rate to Generate <br> 2022 Levy: | $\mathbf{0 . 2 5 2 8 6 1 0 \%}$ |

## CITY OF CHICAGO

ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

## SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include $\mathrm{d} / \mathrm{b} / \mathrm{a} /$ if applicable:


## Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. D the Applicant

OR
2. [.] a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of $7.5 \%$ in the Applicant. State the Applicant's legal name:
OR
3. [ ] a legal entity with a direct or indirect right of control of the Applicant (see Section II (B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party:
4619 NORTH BROADWAY
CHICAGO, 1 ll 60640
C. Telephone: $773 \cdot 878 \cdot 1064$ Fax: $\qquad$ Email: SARA II ES EXPLOREUPTOWN.ORE
D. Name of contact person: SARAH WIlSON
E. Federal Employer Identification No. (if you have one): $36-4028056$
F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable): TO ALLOW UPTOWN UNITED TO ENTET INTO A CONTRKT WITH THE CITY OF CHICAGO TO PROVIDE SERVICES WITHIN SSA $A 34$.

## G. Which City agency or department is requesting this EDS? PLANNING AND DEVELOPMENT

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
$\qquad$ and Contract \# $\qquad$

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:
[ ] Person
[ ] Publicly registered business corporation
[ ] Privately held business corporation
[ ] Sole proprietorship
[ ] General partnership
[ ] Limited partnership
[ ] Trust
[ ] Limited liability company
[ ] Limited liability partnership
[ ] Joint venture
2. Not-for-profit corporation
(Is the not-for-profit corporation also a 501 (c)(3))?
[ b Yes [ ] No
[ ] Other (please specify)
3. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

## IlLINOIS

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?
[ ] Yes
[ ] No
Organized in Illinois

## B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (ie. within 6 months after City action) beneficial interest (including ownership) in excess of $7.5 \%$ of the Applicant. Examples of such an interest include shares in a corporation, partnership interest inti a partnership or joint venture, interest of a member or manager in a

| Uptown United | Uptown United Board of Directors | Rafacl Leeon, Board Member |
| :---: | :---: | :---: |
|  | Sarah Wilson, |  |
|  | Executive Director | Liudsay Marciniak, Board Member |
|  | Jacqueline Loewe, |  |
|  | Chair | Stephanie Salgado, <br> Board Member |
|  | Stephanie Foerster, |  |
|  | Vice Chair | Tam Van Nguyen, Board Meınber |
|  | Mary Kay Gilbert, |  |
|  | Vice Chair | Paul Tsang, <br> Board Member |
|  | Jacqueline Zanders, |  |
|  | Secretary | Irene Dumanis, Board Member |
|  | Scort Baskin, Treasurer | Antonia Mills Wesselink, Board Member |
|  | Maria Barnes, |  |
|  | Board Mernber | Sam Coady, <br> Board Member |
|  | Andrew Bell, Board Member | Tressa Felaer, Board Member |
|  | Edward (Ned) Budd, Board Member |  |
|  | Don Cortelyou, Board Member |  |
|  | Amy Crawford, Board Member |  |
|  | Nadia Geigler, Board Member |  |
| 矿 | Shawn Jackson, Buard Member |  |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.
Name Business Address Percentage Interest in the Applicant:

## SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12 -month period preceding the date of this EDS?
[ ] Yes


Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12 -month period following the date of this EDS? [ ] Yes If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?
[]Yes
K丁No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated ) NOTE: "hourly rate" or "t.b.d." is |
| :---: | :---: | :---: | :---: |
| SEE ATTA | HED |  | not an acceptable response. |

(Add sheets if necessary)
[ ] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

## SECTION V -- CERTIFICATIONS

## A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial ownets of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns $10 \%$ or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?
[] Yes [ ] No X/ No person directly or indirectly owns $10 \%$ or more of the Disclosing Party. If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?
[ ] Yes [ ] No

## B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

| Uptown United <br> Nume | Llst of 2024 Retained Partles for SSA \#34 |  |  |
| :---: | :---: | :---: | :---: |
|  | Business Address | Scope of Work | Estimated |
| Bravos \& Associates CPAs | 10 North Martingale Roed 5 uite 400 Schaumburg, IL 60173 | Audit | \$ 3,400 |
| Dera | 504 Malcolrn Ave SE, Sulte 100 MInneapolis, MN, 554.14 | Blcycla racks | \$ 1,000 |
| Moore Landscapes | 1869 Techriv Road Northbrook, IL. 50062 | Lundscoping | \$ 40,000 |
| Costar | P.O. Box 7911.23 Baitimure, MD 21279-11.23 | Slte Marketing | \$ 6,000 |
| Bannervilla | P.O. Box 184, 1428 Hillgrove Western Springs, il 60558 | Lightpole banners | \$ 21,182 |
| Cleamslate | 1540 5. Ashland Ave., Sulire 105 Chicago, IL 60608 | Sldewalk cleaning/snow removal | \$ 240,000 |
| WP Crank | 2885 Sanfod Ave SW \#42094 Grandville MI 49418 | Website Hosting and Support | \$ 5,000 |
| Lenny Maraccini | 4350 N Broadway, Unlt 1012 Chicago, IL 60613 | Suclal Media Management | \$ 5,000 |

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section $I(\mathrm{~B})(\mathrm{I})$ of this EDS:
a. are not presently debarred, suspended, proposed for debarment, declared incligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
5. Certifications (5), (6) and (7) concern:

- the Disclosing Party,
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Aftiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:
a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of [llinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance)
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS $5 / 33 \mathrm{E}-3$; (2) bid-rotating in violation of 720 ILCS $5 / 33 \mathrm{E}-4$; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Aiticle I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5 -year compliance timeframes in this Section $V$.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such
contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.
11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12 month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"),

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the $12-$ month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than $\$ 25$ per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

## NOWE

## C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)
[.] is $\quad \forall$ is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):


If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

## D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?
[ ] Yes


NOTE: If you checked "Yes" to Item $\mathrm{D}(1)$, proceed to Items $\mathrm{D}(2)$ and $\mathrm{D}(3)$. If you checked "No" to Item $D(1)$, skip Items $D(2)$ and $D(3)$ and proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?
[ ] Yes
[ ] No
3. If you checked "Yes" to Item $D(1)$, provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:
Name
Business Address
Nature of Financial Interest
4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

## SECTION VI -- CER'TITICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

## A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Patty with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph $A(1)$ above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1
of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs $A(1)$ and $A(2)$ above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501 (c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501 (c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs $A(1)$ through $A(4)$ above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATLON REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?
[ ] Yes [ ] No
If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)
[ ] Y'es [ ] No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?
[ ] Yes [ ] No [] Reports not required
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?
[]Yes [ ] No
If you checked "No" to question (1) or (2) above, please provide an explanation:

## SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:
A. The certifications, disclosures, and acknowledgnents contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public telease of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

## CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

UPTOWN UNITED
(Print or type exact legal name of Disclosing Party)
By:


SARAH WILSON
(Print or type name of person signing)

(Print or type title of person signing)

Signed and sworn to before me on (date) $\qquad$
at $\qquad$ COOK daw County. LLINOIS (state).

Notary Public

Commission expires:


## CITY OF CHICAGO

ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5\%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.
"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.L.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and mernbers of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a $7.5 \%$ ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?
[ ] Yes


If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

## CITY OF CHICAGO <br> ECONOMIC DISCLOSURE STATEMENT AND AFFIDA VITT APPENDIX B

## BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5\% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?
[]Yes BNo
2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply,

# CITY OF CHICAGO <br> ECONOMIC DISCLOSURE STATEMENT AND AFFTDAVIT APPENIDIX C 

## PROHIBITION ON WAGE \& SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www amlegal com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.
ofYes
[.] No
[ ] N/A -I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

## Certificate Of Completion

Envelope Id: 689B54D30432494A88CE4B3F5BC908CD
Subject: Service provider Agreement for Sarah Wilson
Special Service Area:
Source Envelope:
Document Pages: 26
Certificate Pages: 5
AutoNav: Enabled
Envelopeld Stamping: Enabled
Time Zone: (UTC-08:00) Pacific Time (US \& Canada)

Status: Completed

Signatures: 3
Initials: 0

Envelope Originator:
Allen Doan
30 North LaSalle
Chicago, IL 60602
allen.doan@cityofchicago.org
IP Address: 167.165.222.49

## Record Tracking

Status: Original
2/20/2024 10:40:50 AM

Security Appliance Status: Connected
Storage Appliance Status: Connected
Signer Events
Oneida Pate
Oneida.Pate@cityofchicago.org
Security Level: Email, Account Authentication
(None)

Electronic Record and Signature Disclosure:
Accepted: 2/23/2024 12:30:38 PM
ID: 68eacea2-4ccc-41ee-9e82-ac17023a76a4
Mark Roschen
Mark.Roschen@cityofchicago.org
Security Level: Email, Account Authentication (None)

Electronic Record and Signature Disclosure: Not Offered via DocuSign

Terry Tuohy
ttuohy@weisshospital.com
Security Level: Email, Account Authentication (None)

## Electronic Record and Signature Disclosure: <br> Accepted: 2/28/2024 2:53:30 PM <br> ID: 84549f7c-2488-4931-a370-0e6e9952a216

## Sarah Wilson

sarah@exploreuptown.org
Security Level: Email, Account Authentication (None)

## Completed

Using IP Address: 40.139.9.96


Signature Adoption: Pre-selected Style Using IP Address: 12.22.13.122

Sent: 2/23/2024 12:34:13 PM
Viewed: 2/23/2024 1:13:24 PM

Sent: 2/23/2024 1:13:37 PM
Viewed: 2/28/2024 2:53:30 PM

Signed: 2/28/2024 2:55:21 PM

Sent: 2/28/2024 2:55:23 PM
Viewed: 2/29/2024 11:34:10 AM
Signed: 2/29/2024 11:35:26 AM

Signed: 2/23/2024 1:13:36 PM
Signed: 2/23/2024 1:13:36 PM

## Electronic Record and Signature Disclosure:

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ID: bab8732f-478e-405d-9ab1-95691b307a6c

## Timestamp

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Viewed: 2/23/2024 12:30:38 PM
Signed: 2/23/2024 12:34:11 PM

Location: DocuSign

Location: DocuSign

## Signature

Completed

Using IP Address: 167.165.222.50

Signature Adoption: Pre-selected Style Using IP Address: 24.12.70.47

DocuSigned by:
Sarale Wilson
6FC8C8BD80E34E1...

Using Addess:24.12.70.47

| Signer Events | Signature | Timestamp |
| :---: | :---: | :---: |
| Ciere Boatright <br> Ciere.Boatright@cityofchicago.org <br> Commissioner of DPD <br> City of Chicago <br> Security Level: Email, Account Authentication (None) | Signature Adoption: Uploaded Signature Image Using IP Address: 167.165.222.53 | Sent: 2/29/2024 11:35:28 AM Viewed: 3/1/2024 4:47:51 PM Signed: 3/1/2024 4:48:32 PM |
| Electronic Record and Signature Disclosure: <br> Accepted: 3/1/2024 4:47:51 PM <br> ID: 07b1707e-19d0-4edb-b3ce-d72058a57efe |  |  |
| In Person Signer Events | Signature | Timestamp |
| Editor Delivery Events | Status | Timestamp |
| Agent Delivery Events | Status | Timestamp |
| Intermediary Delivery Events | Status | Timestamp |
| Certified Delivery Events | Status | Timestamp |
| Carbon Copy Events | Status | Timestamp |
| Witness Events | Signature | Timestamp |
| Notary Events | Signature | Timestamp |
| Envelope Summary Events | Status | Timestamps |
| Envelope Sent | Hashed/Encrypted | 2/20/2024 10:40:52 AM |
| Certified Delivered | Security Checked | 3/1/2024 4:47:51 PM |
| Signing Complete | Security Checked | 3/1/2024 4:48:32 PM |
| Completed | Security Checked | 3/1/2024 4:48:32 PM |
| Payment Events | Status | Timestamps |
| Electronic Record and Signature Disclosure |  |  |

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## How to contact Department of Law:

You may contact us to let us know of your changes as to how we may contact you electronically, to request paper copies of certain information from us, and to withdraw your prior consent to receive notices and disclosures electronically as follows:
To contact us by email send messages to: latoya.vaughn@cityofchicago.org

## To advise Department of Law of your new email address

To let us know of a change in your email address where we should send notices and disclosures electronically to you, you must send an email message to us at latoya.vaughn@cityofchicago.org and in the body of such request you must state: your previous email address, your new email address. We do not require any other information from you to change your email address.

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To confirm to us that you can access this information electronically, which will be similar to other electronic notices and disclosures that we will provide to you, please confirm that you have read this ERSD, and (i) that you are able to print on paper or electronically save this ERSD for your future reference and access; or (ii) that you are able to email this ERSD to an email address where you will be able to print on paper or save it for your future reference and access. Further, if you consent to receiving notices and disclosures exclusively in electronic format as described herein, then select the check-box next to 'I agree to use electronic records and signatures' before clicking 'CONTINUE' within the DocuSign system.

By selecting the check-box next to 'I agree to use electronic records and signatures', you confirm that:

- You can access and read this Electronic Record and Signature Disclosure; and
- You can print on paper this Electronic Record and Signature Disclosure, or save or send this Electronic Record and Disclosure to a location where you can print it, for future reference and access; and
- Until or unless you notify Department of Law as described above, you consent to receive exclusively through electronic means all notices, disclosures, authorizations, acknowledgements, and other documents that are required to be provided or made available to you by Department of Law during the course of your relationship with Department of Law.

